<u>Network Applications:</u> <u>High-performance Server Design</u>

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https://sngroup.org.cn/courses/cnnsxmuf23/index.shtml

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This deck of slides are heavily based on CPSC 433/533 at Yale University, by courtesy of Dr. Y. Richard Yang.

<u>Outline</u>

Admin and recap

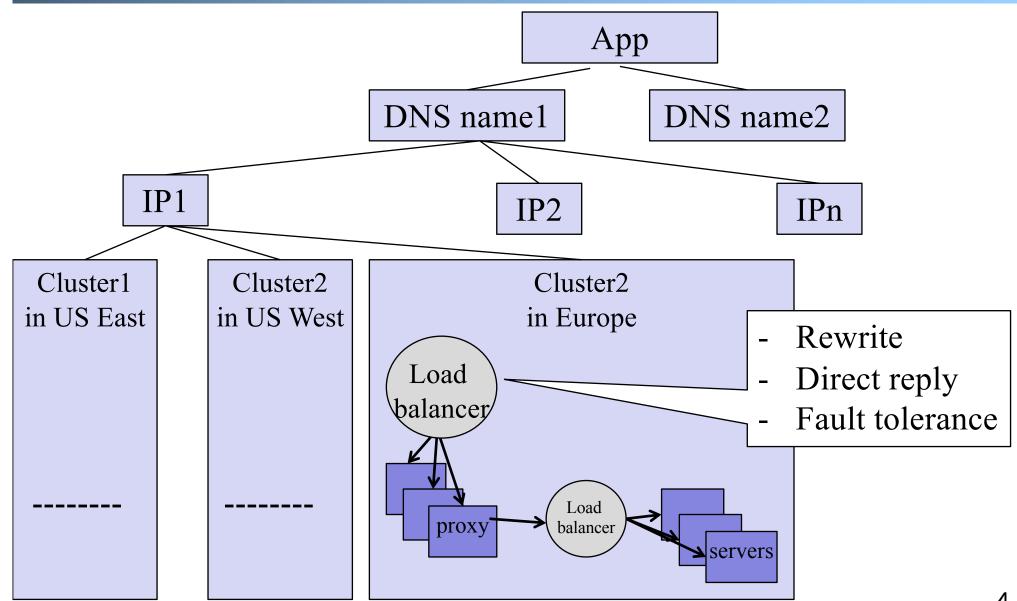
High-performance network server design

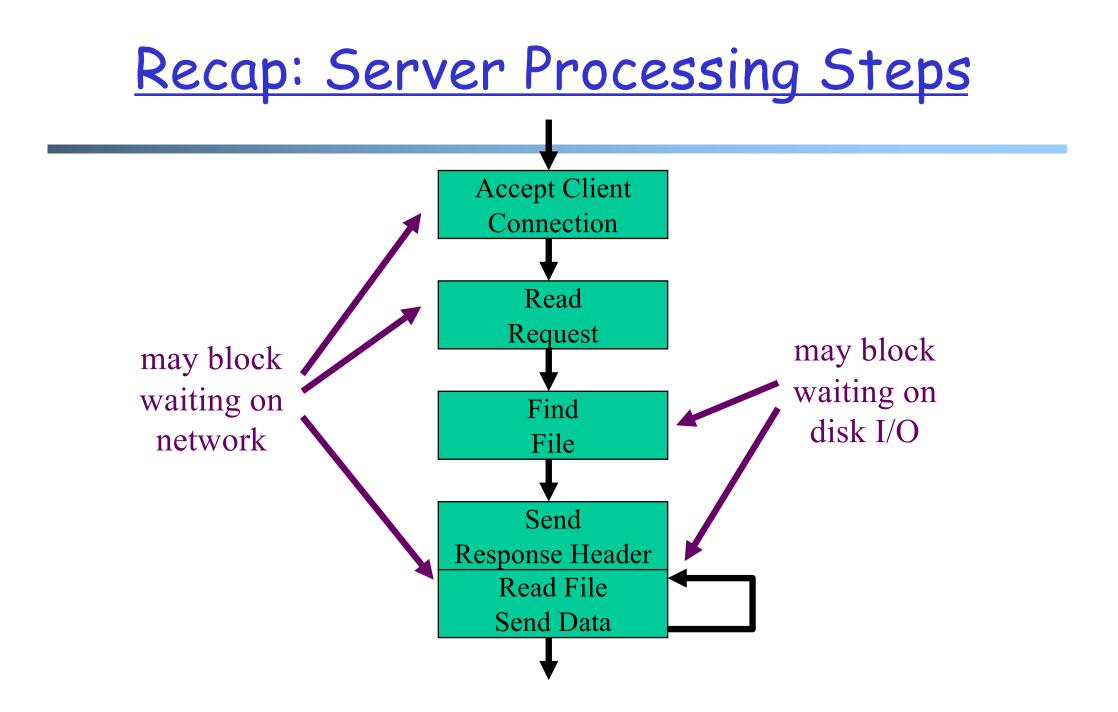
- Overview
- Threaded servers
 - Per-request thread
 - problem: large # of threads and their creations/deletions may let overhead grow out of control
 - Thread pool
 - Design 1: Service threads compete on the welcome socket
 - Design 2: Service threads and the main thread coordinate on the shared queue
 - » polling (busy wait)
 - » suspension: wait/notify

<u>Admin</u>

Exam 1 date: 2:30-4:10pm, Nov. 9 Assignment 3: to be posted today

<u>Recap: Direction Mechanisms</u>





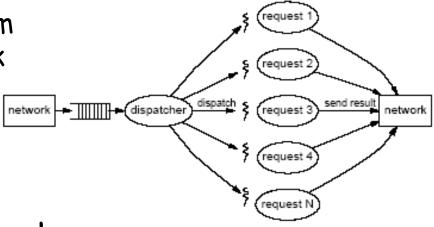
Recap: Multi-Threaded Servers

Motivation:

 Avoid blocking the whole program (so that we can reach bottleneck throughput)

Idea: introduce threads

- A thread is a sequence of instructions which may execute in parallel with other threads
- When a blocking operation happens, only the flow (thread) performing the operation is blocked

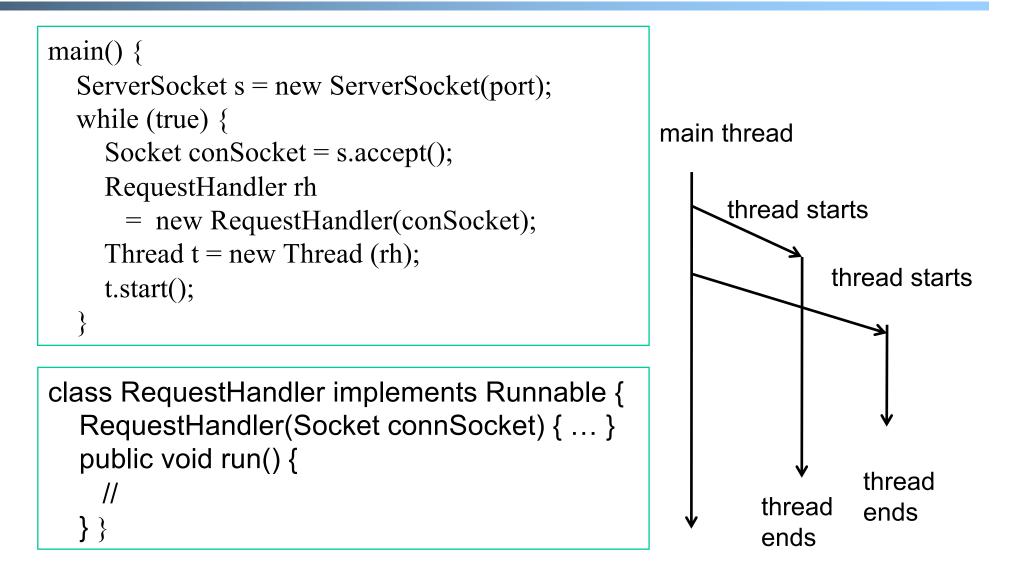


Background: Java Thread Model

Every Java application has at least one thread

- The "main" thread, started by the JVM to run the application's main() method
- Most JVMs use POSIX threads to implement Java threads
- main() can create other threads
 - Explicitly, using the Thread class
 - Implicitly, by calling libraries that create threads as a consequence (RMI, AWT/Swing, Applets, etc.)

Recap: Per-Request Thread Server

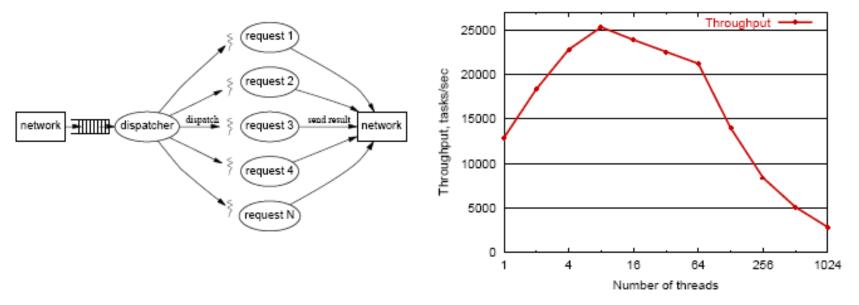


Try the per-request-thread TCP server: TCPServerMT.java

Recap: Implementing Threads

```
class RequestHandler
class RequestHandler
       extends Thread {
                                                    implements Runnable {
                                              RequestHandler(Socket connSocket)
  RequestHandler(Socket connSocket)
  public void run() {
                                              public void run() {
   // process request
                                                // process request
                                            RequestHandler rh = new
                                                   RequestHandler(connSocket);
Thread t = new RequestHandler(connSocket);
                                            Thread t = new Thread(rh);
                                            t.start();
t.start();
```

Problem of Per-Request Thread: Reality



(937 MHz x86, Linux 2.2.14, each thread reading 8KB file)

High thread creation/deletion overhead

- \square Too many threads \rightarrow resource overuse \rightarrow throughput meltdown \rightarrow response time explosion
 - Q: given avg response time and connection arrival rate, how many threads active on avg?

<u>Outline</u>

Admin and recap

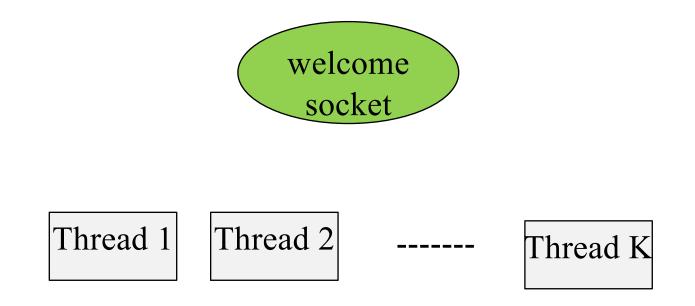
High-performance network server design

- Overview
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 - problem: large # of threads and their creations/deletions may let overhead grow out of control

> Thread pool

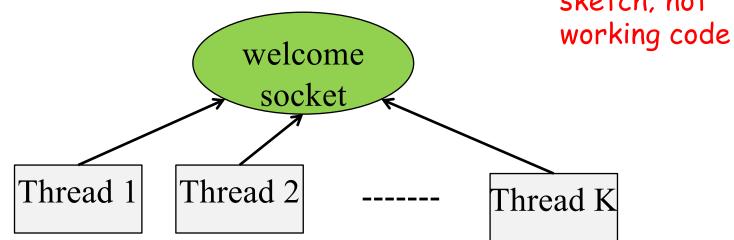
<u>Using a Fixed Set of Threads</u> (Thread Pool)

Design issue: how to distribute the requests from the welcome socket to the thread workers

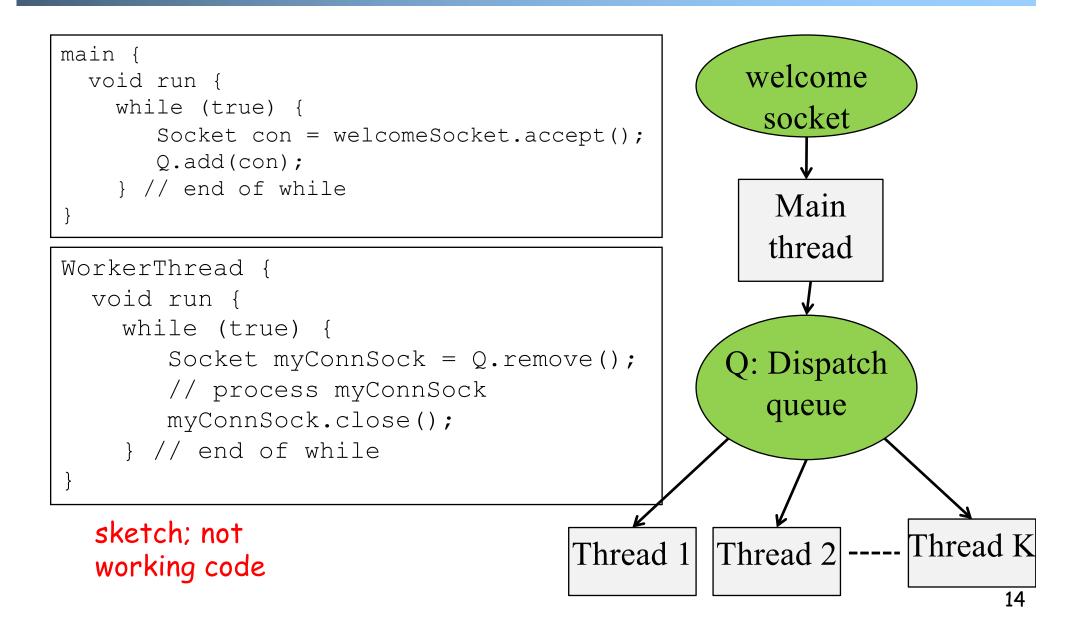


<u>Design 1: Threads Share</u> <u>Access to the welcomeSocket</u>





Design 2: Producer/Consumer



<u>Common Issues Facing Designs 1 and 2</u>

Both designs involve multiple threads modifying the same data concurrently

- **Design 1**: welcomeSocket
- Design 2: Q
- In our original TCPServerMT, do we have multiple threads modifying the same data concurrently?

Concurrency and Shared Data

- Concurrency is easy if threads don't interact
 - Each thread does its own thing, ignoring other threads
 - Typically, however, threads need to communicate/coordinate with each other
 - Communication/coordination among threads is often done by *shared data*

Simple Example

```
public class ShareExample extends Thread {
    private static int cnt = 0; // shared state, count
                                // total increases
    public void run() {
        int y = cnt;
        cnt = y + 1;
    }
    public static void main(String args[]) {
        Thread t1 = new ShareExample();
        Thread t2 = new ShareExample();
        t1.start();
        t2.start();
       Thread.sleep(1000);
       System.out.println("cnt = " + cnt);
```

Simple Example

What if we add a println: int y = cnt; System.out.println("Calculating..."); cnt = y + 1;

What Happened?

A thread was preempted in the middle of an operation

- The operations from reading to writing cnt should be atomic with no interference access to cnt from other threads
- But the scheduler interleaves threads and caused a race condition

Such bugs can be extremely hard to reproduce, and also hard to debug **Synchronization**

Refers to mechanisms allowing a programmer to control the execution order of some operations across different threads in a concurrent program.

We use Java as an example to see synchronization mechanisms

We'll look at locks first.

Java Lock (1.5)

```
interface Lock {
   void lock();
   void unlock();
   ... /* Some more stuff, also */
}
class ReentrantLock implements Lock { ... }
```

- Only one thread can hold a lock at once
- Other threads that try to acquire it block (or become suspended) until the lock becomes available
- **Reentrant lock can be reacquired by same thread**
 - As many times as desired
 - No other thread may acquire a lock until it has been released the same number of times that it has been acquired
 - Do not worry about the reentrant perspective, consider it a lock

Java Lock

□ Fixing the ShareExample.java problem

```
import java.util.concurrent.locks.*;
public class ShareExample extends Thread {
    private static int cnt = 0;
    static Lock lock = new ReentrantLock();

    public void run() {
        lock.lock();
        int y = cnt;
        cnt = y + 1;
        lock.unlock();
    }
    ...
}
```



It is recommended to use the following pattern

```
...
lock.lock();
try {
    // processing body
} finally {
    lock.unlock();
}
```

Java synchronized

This pattern is really common

 Acquire lock, do something, release lock after we are done, under any circumstances, even if exception was raised, the method returned in the middle, etc.

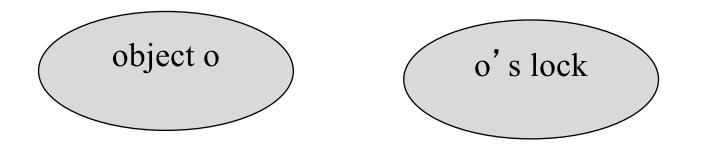
Java has a language construct for this

• synchronized (obj) { body }

Utilize the design that every Java object has its own implicitly lock object, also called the intrinsic lock, monitor lock or simply monitor

- Obtains the lock associated with **obj**
- Executes *body*
- Release lock when scope is exited
- Even in cases of exception or method return

Discussion



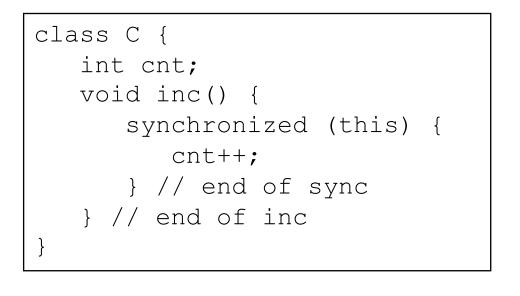
An object and its associated lock are different !

Holding the lock on an object does not affect what you can do with that object in any way

D Examples:

- o synchronized(o) { ... } // acquires lock named o
- o.f (); // someone else can call o's methods

Synchronization on this



$$C c = new C();$$

Thread	1
c.inc()	;

Thread 2
c.inc();

A program can often use this as the object to lock

Does the program above have a data race?
 No, both threads acquire locks on the same object before they access shared data

Synchronization on this

```
class C {
   static int cnt;
   void inc() {
      synchronized (this) {
         cnt++;
      } // end of sync
   } // end of inc
   void dec() {
      synchronized (this) {
         cnt--;
      } // end of sync
   } // end of dec
}
```

$$C c = new C();$$

Thread 1
c.inc();

Thread 2 c.dec();

Does the program above have a data race?

 No, both threads acquire locks on the same object before they access shared data



See

- ShareWelcome/Server.java
- ShareWelcome/ServiceThread.java

Discussion

- You would not need the lock for accept if Java were to label the call as thread safe (synchronized)
- One reason Java does not specify accept as thread safe is that one could register your own socket implementation with

<u>ServerSocket.setSocketFactory</u>

Always consider thread safety in your design

 If a resource is shared through concurrent read/write, write/write), consider thread-safe issues.

Why not Synchronization

Synchronized method invocations generally are going to be slower than non-synchronized method invocations

Synchronization gives rise to the possibility of deadlock, a severe performance problem in which your program appears to hang

Synchronization Overhead

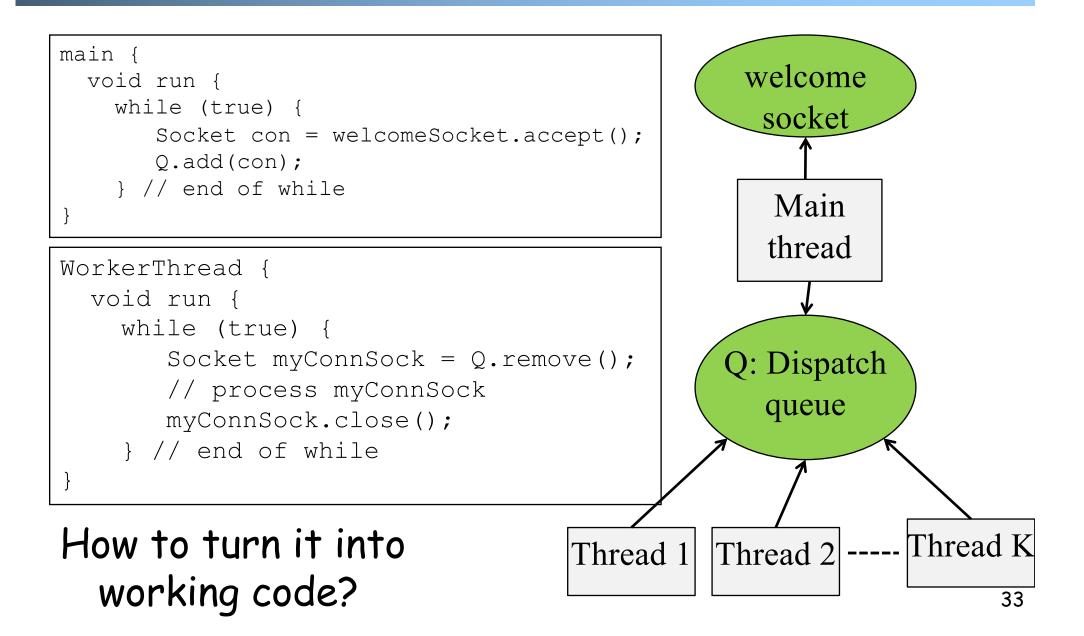
Try SyncOverhead.java

Synchronization Overhead

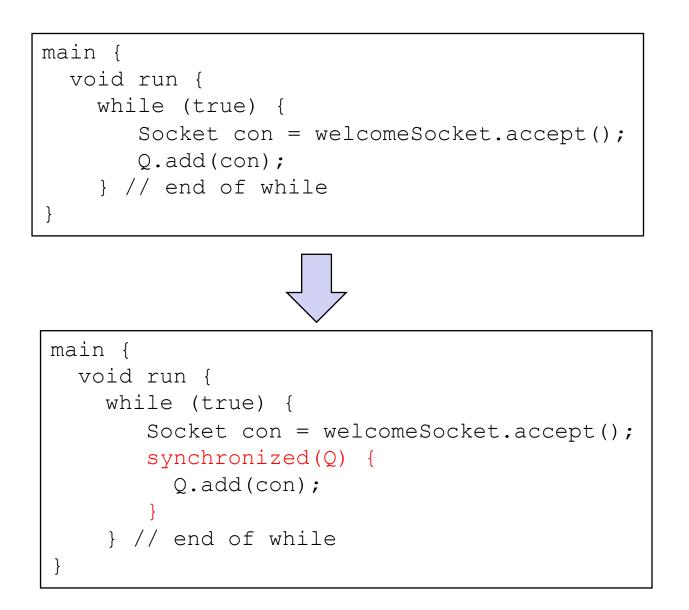
Try SyncOverhead.java

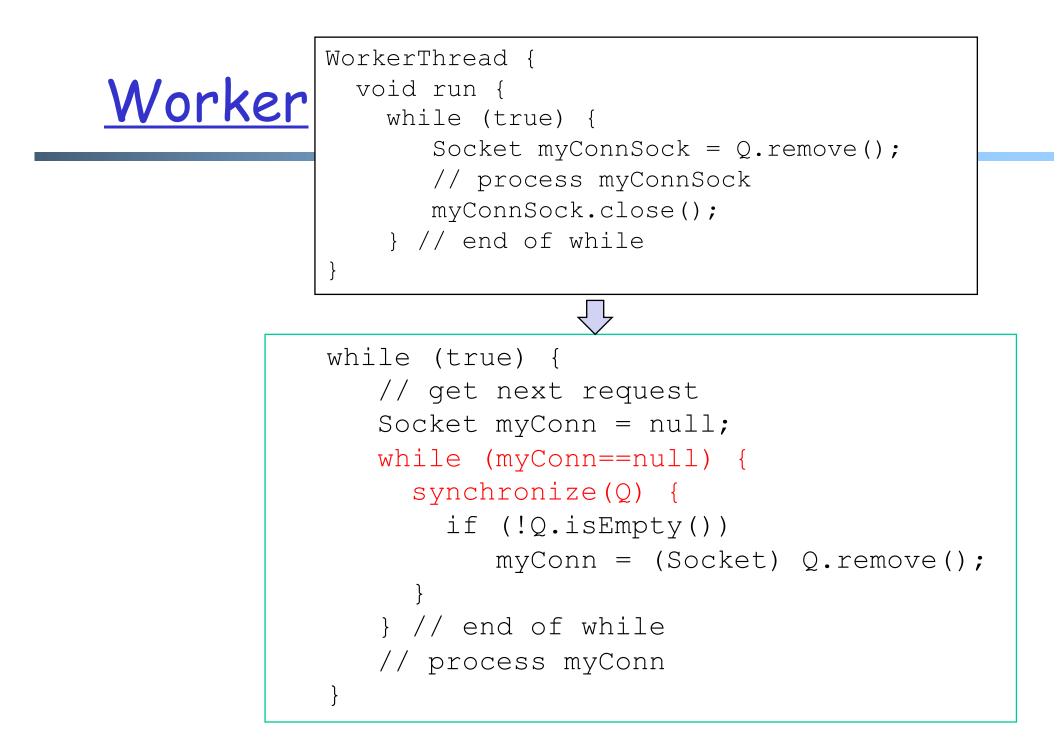
Method	Time (ms; 5,000,000 exec)
no sync	8 ms
synchronized method	18 ms
synchronized on this	18 ms
lock	89 ms
lock and finally	88 ms

Design 2: Producer/Consumer



Main







□ try

- ShareQ/Server.java
- ShareQ/ServiceThread.java

Problem of ShareQ Design

Worker thread continually spins (busy wait) until a condition holds

```
while (true) { // spin
    lock;
    if (Q.condition) // {
        // do something
    } else {
        // do nothing
    }
    unlock
} //end while
```

Can lead to high utilization and slow response time

Q: Does the shared welcomeSock have busy-wait?

Solution: Suspension

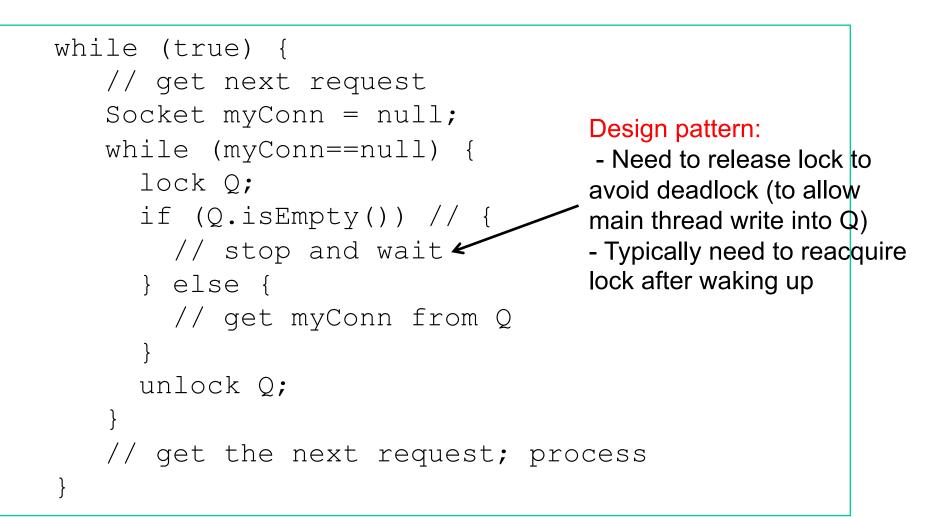
Put thread to sleep to avoid busy spin

- Thread life cycle: while a thread executes, it goes through a number of different phases
 - New: created but not yet started
 - Runnable: is running, or can run on a free CPU
 - Blocked: waiting for socket/I/O, a lock, or suspend (wait)
 - Sleeping: paused for a user-specified interval
 - Terminated: completed

Solution: Suspension

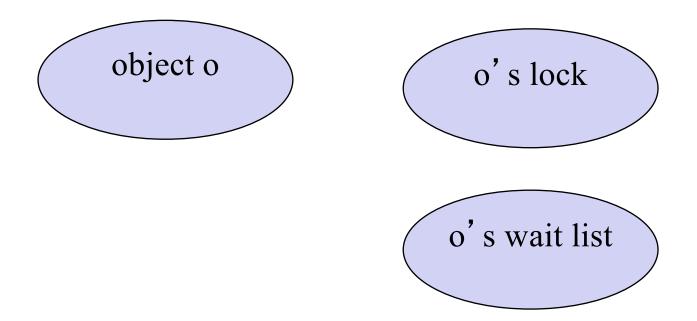
```
while (true) {
  // get next request
  Socket myConn = null;
  while (myConn==null) {
    lock Q;
    if (Q.isEmpty()) // {
      } else {
      // get myConn from Q
    }
    unlock O;
  }
  // get the next request; process
```

Solution: Suspension



Wait-sets and Notification

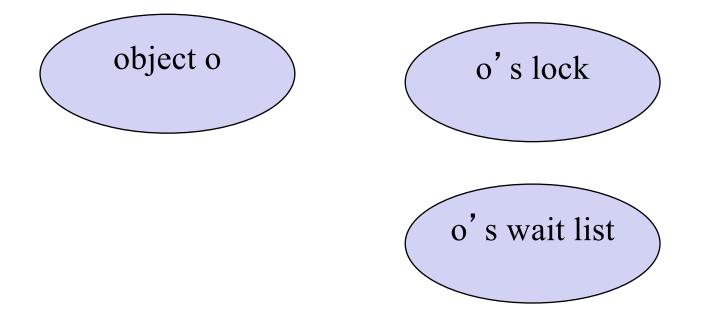
Every Java Object has an associated waitset (called wait list) in addition to a lock object



Wait-sets and Notification

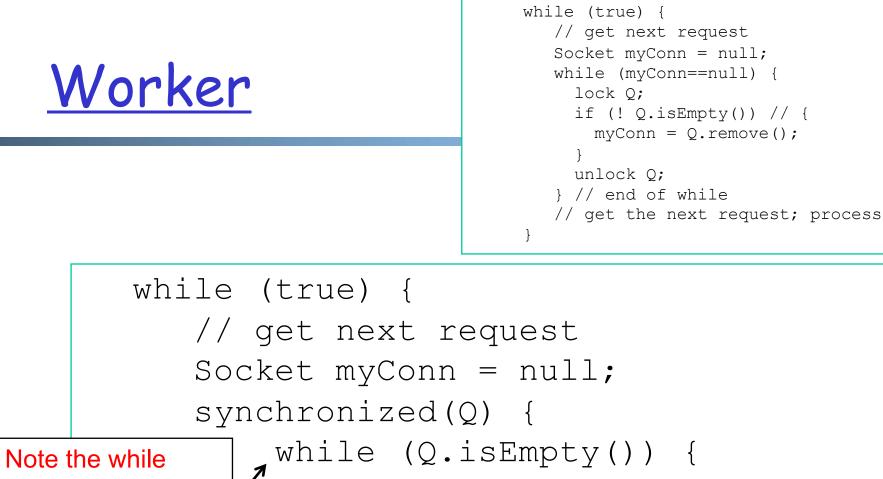
Wait list object can be manipulated only while the object lock is held

• Otherwise, IllegalMonitorStateException is thrown



<u>Wait-sets</u>

- Thread enters the wait-set by invoking wait()
 - o wait() releases the lock
 - No other held locks are released
 - then the thread is suspended
- Can add optional time wait (long millis)
 - o wait() is equivalent to wait(0) wait
 forever
 - for robust programs, it is typically a good idea to add a timer



Wait-set and Notification (cont)

Threads are released from the wait-set when:

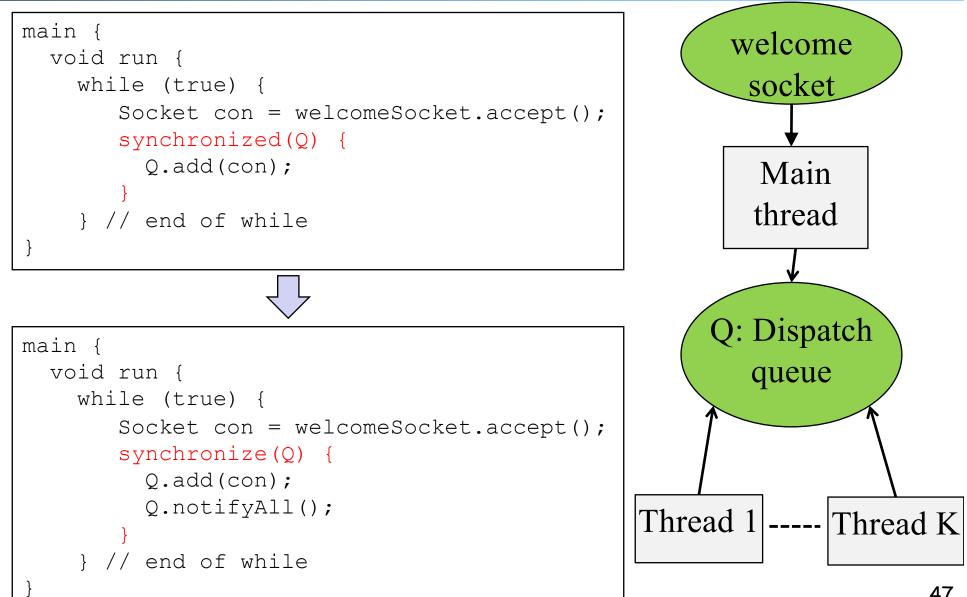
- o notifyAll() is invoked on the object
 - All threads released (typically recommended)
- o notify() is invoked on the object
 - One thread selected at 'random' for release
- The specified time-out elapses
- The thread has its interrupt() method invoked
 - InterruptedException **thrown**
- A spurious wakeup occurs
 - Not (yet!) spec'ed but an inherited property of underlying synchronization mechanisms e.g., POSIX condition variables

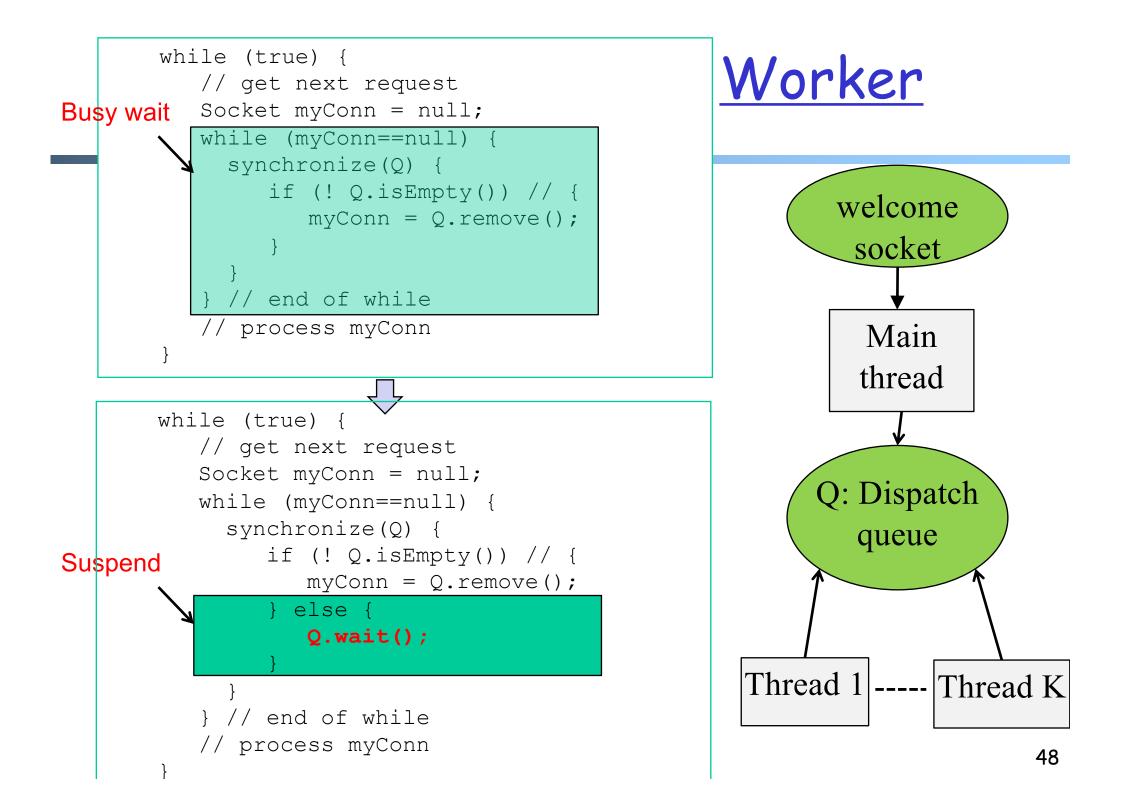
<u>Notification</u>

Caller of notify() must hold lock associated with the object

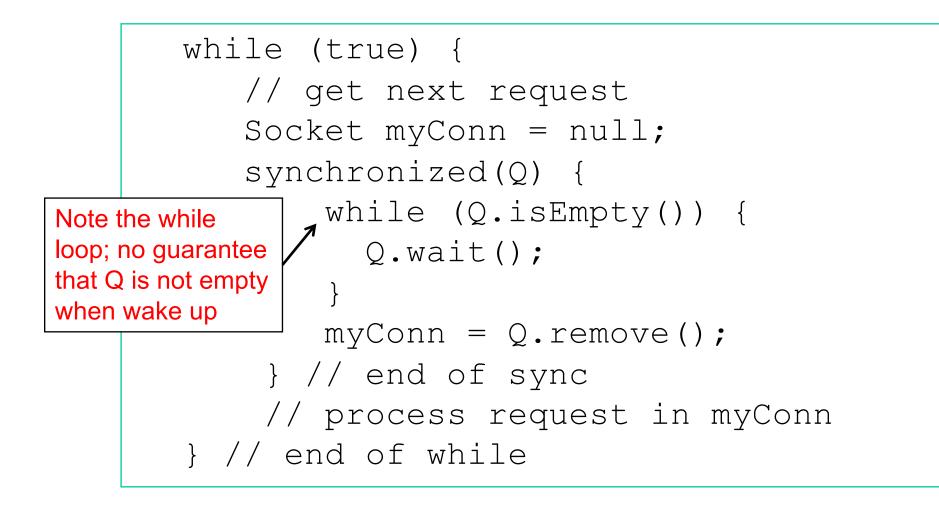
- Those threads awoken must reacquire lock before continuing
 - (This is part of the function; you don't need to do it explicitly)
 - Can't be acquired until notifying thread releases it
 - A released thread contends with all other threads for the lock

Main Thread





Worker: Another Format





See

- WaitNotify/Server.java
- WaitNotify/ServiceThread.java

<u>Summary: Guardian via</u> <u>Suspension: Waiting</u>

```
synchronized (obj) {
   while (!condition) {
     try { obj.wait(); }
     catch (InterruptedException ex)
     { ... }
   } // end while
   // make use of condition
   } // end of sync
```

Golden rule: Always test a condition in a loop

- Change of state may not be what you need
- Condition may have changed again
- Break the rule only after you are sure that it is safe to do so

<u>Summary: Guarding via</u> <u>Suspension: Changing a Condition</u>

```
synchronized (obj) {
   condition = true;
   obj.notifyAll(); // or obj.notify()
}
```

- □ Typically use notifyAll()
- There are subtle issues using notify(), in particular when there is interrupt

Note

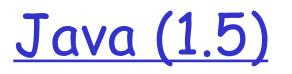
Use of wait(), notifyAll() and notify() similar to

- Condition queues of classic Monitors
- Condition variables of POSIX PThreads API
- In C# it is called Monitor (<u>http://msdn.microsoft.com/en-us/library/ms173179.aspx</u>)

Python Thread module in its Standard Library is based on Java Thread model

(https://docs.python.org/3/library/threading.html)

 "The design of this module is loosely based on Java's threading model. However, where Java makes locks and condition variables basic behavior of every object, they are separate objects in Python."

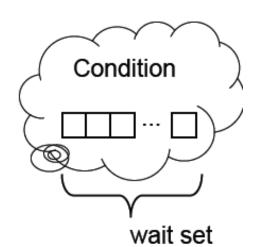


interface Lock { Condition newCondition(); ... }
interface Condition {
 void await();
 void signalAll(); ...

Condition created from a Lock

await called with lock held

- Releases the lock
 - But not any other locks held by this thread
- Adds this thread to wait set for lock
- Blocks the thread
- signallAll called with lock held
 - Resumes all threads on lock's wait set
 - Those threads must reacquire lock before continuing
 - (This is part of the function; you don't need to do it explicitly)



Producer/Consumer Example

```
Lock lock = new ReentrantLock();
Condition ready = lock.newCondition();
boolean valueReady = false;
Object value;
```

```
Object consume() {
void produce(Object o) {
                                lock.lock();
   lock.lock();
                                while (!valueReady)
   while (valueReady)
                                   ready.await();
     ready.await();
                                Object o = value;
   value = o;
                                valueReady = false;
   valueReady = true;
                                ready.signalAll();
   ready.signalAll();
                                lock.unlock();
   lock.unlock();
                             }
```

<u>Blocking Queues in Java</u>

Design Pattern for producer/consumer pattern with blocking, e.g.,

- o put/take
- Two handy implementations
 - LinkedBlockingQueue (FIFO, may be bounded)
 - ArrayBlockingQueue (FIFO, bounded)
 - (plus a couple more)

https://docs.oracle.com/javase/8/docs/api/java/util/concurrent /BlockingQueue.html

<u>Beyond Class: Complete Java</u> <u>Concurrency Framework</u>

Executors

- Executor
- ExecutorService
- ScheduledExecutorService
- Callable
- Future
- ScheduledFuture
- Delayed
- CompletionService
- ThreadPoolExecutor
- ScheduledThreadPoolExecutor
- AbstractExecutorService
- Executors
- FutureTask
- ExecutorCompletionService

Queues

- BlockingQueue
- ConcurrentLinkedQueue
- LinkedBlockingQueue
- ArrayBlockingQueue
- SynchronousQueue
- PriorityBlockingQueue
- DelayQueue

Concurrent Collections

- ConcurrentMap
- ConcurrentHashMap
- CopyOnWriteArray{List,Set}

Synchronizers

- CountDownLatch
- Semaphore
- Exchanger
- CyclicBarrier

Locks: java.util.concurrent.locks

- Lock
- Condition
- ReadWriteLock
- AbstractQueuedSynchronizer
- LockSupport
- ReentrantLock
- ReentrantReadWriteLock

Atomics: java.util.concurrent.atomic

- Atomic[Type]
- Atomic[Type]Array
- Atomic[Type]FieldUpdater
- Atomic{Markable,Stampable}Reference

See jcf slides for a tutorial.

<u>Correctness</u>

Threaded programs are typically more complex.

What types of properties do you analyze to verify server correctness?

```
// worker
void run() {
  while (true) {
    // get next request
    Socket myConn = null;
    Synchronized(Q) {
      while (Q.isEmpty()) {
        Q.wait();
      } // end of while
      myConn = Q.remove();
    } // end of sync
    // process request in myConn
  } // end of while
} // end of run()
```

```
// master
void run() {
  while (true) {
    Socket con = welcomeSocket.accept();
    synchronize(Q) {
        Q.add(con);
        Q.notifyAll();
    } // end of sync
    } // end of while
} // end of run()
```

Key Correctness Properties



Liveness (progress)

Fairness

 For example, in some settings, a designer may want the threads to share load equally Safety Properties

What safety properties?

• No read/write; write/write conflicts

- holding lock Q before reading or modifying shared data Q and Q.wait_list
- Q.remove() is not on an empty queue
- There are formal techniques to model server programs and analyze their properties, but we will use basic analysis
 This is enough in many cases

Make Program Explicit

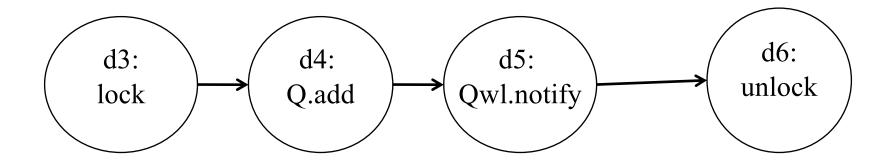
```
// dispatcher
void run() {
   while (true) {
      Socket con = welcomeSocket.accept();
      synchronize(Q) {
        Q.add(con);
        Q.notifyAll();
      } // end of sync
   } // end of while
} // end of run()
```

```
// dispatcher
void run() {
1. while (true) {
2. Socket con = welcomeSocket.accept();
3. lock(Q) {
4. Q.add(con);
5. notify Q.wait_list; // Q.notifyAll();
6. unlock(Q);
    } // end of while
    } // end of run()
```

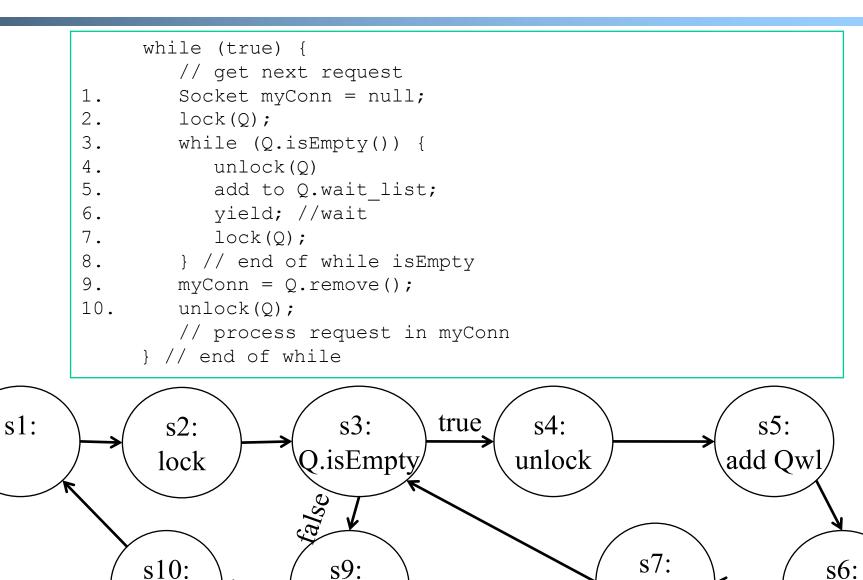
```
// service thread
void run() {
  while (true) {
    // get next request
    Socket myConn = null;
    Synchronized(Q) {
       while (Q.isEmpty()) {
           Q.wait();
        } // end of while
        myConn = Q.remove();
        } // end of sync
        // process request in myConn
        } // end of while
    }
```

```
// service thread
void run() {
1. while (true) {
      // get next request
      Socket myConn = null;
2.
3.
      lock(Q);
      while (Q.isEmpty()) {
4.
5.
         unlock(Q)
         add to Q.wait list;
6.
7.
         yield until marked to wake; //wait
8.
         lock(0);
9.
   } // end of while
10.
   myConn = Q.remove();
11. unlock(Q);
      // process request in myConn
    } // end of while
}
```

Statements to States (Dispatcher)



Statements to States (Service)



Q.remove

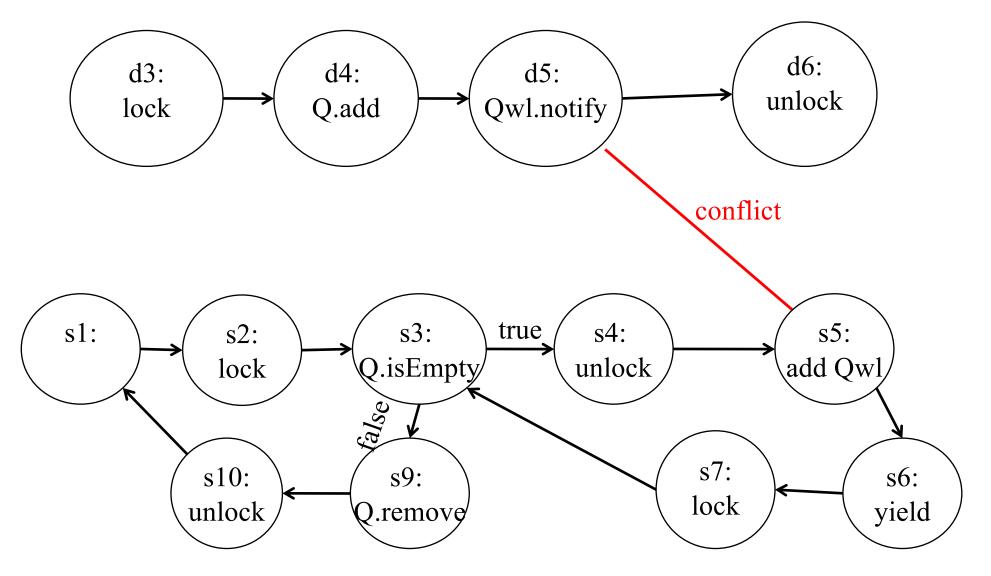
unlock

lock

64

yield

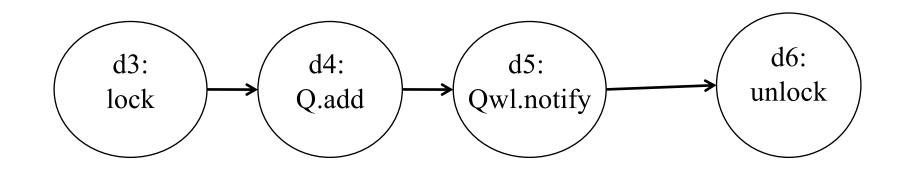
<u>Check Safety</u>

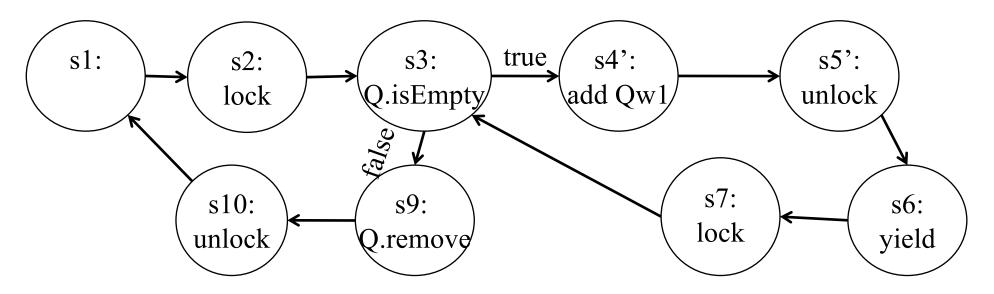


Real Implementation of wait

```
while (true) {
    // get next request
1. Socket myConn = null;
2. lock(Q);
3. while (Q.isEmpty()) {
4. add to Q.wait list;
5. unlock(Q); after add to wait list
6.
  yield; //wait
  lock(Q);
7.
8. }
9. myConn = Q.remove();
10. unlock(0);
   // process request in myConn
  } // end of while
```

<u>Check Safety</u>





Liveness Properties

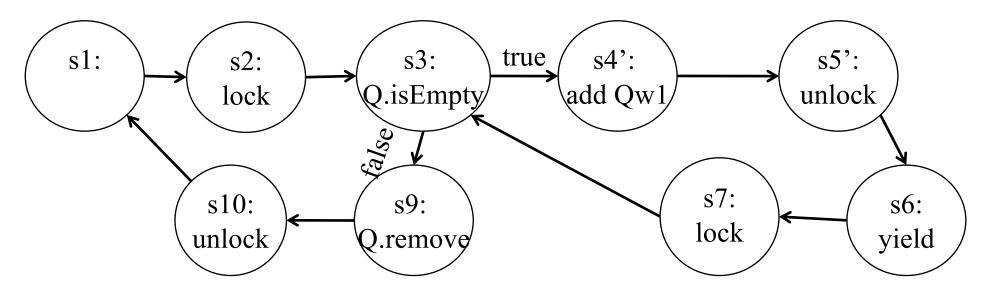
What liveness (progress) properties?

• dispatcher thread can always add to Q

• every connection in Q will be processed

Dispatcher Thread Can Always Add to Q

- Assume dispatcher thread is blocked
- Suppose Q is not empty, then each iteration removes one element from Q
- In finite number of iterations, all elements in Q are removed and all service threads unlock and block
 - Need to assume each service takes finite amount of time (bound by a fixed T_0)



Each Connection in Q is Processed

Cannot be guaranteed unless

- there is fairness in the thread scheduler, or
- put a limit on Q size to block the dispatcher thread

<u>Summary: Program Correctness Analysis</u>

Safety

- No read/write; write/write conflicts
 - holding lock Q before reading or modifying shared data Q and Q.wait_list
- Q.remove() is not on an empty queue

Liveness (progress)

- dispatcher thread can always add to Q
- every connection in Q will be processed

Fairness

 For example, in some settings, a designer may want the threads to share load equally

<u>Use Java ThreadPoolExecutor</u>

```
server = new ServerSocket(port);
System.out.println("Time server listens at port: " + port);
```

```
// Create Java Executor Pool
TimeServerHandlerExecutePool myExecutor
    = new TimeServerHandlerExecutePool(50, 10000);
Socket socket = null;
while (true) {
    socket = server.accept();
    myExecutor.execute(new TimeServerHandler(socket));
} // end of while
```

<u>Use Java ThreadPoolExecutor</u>

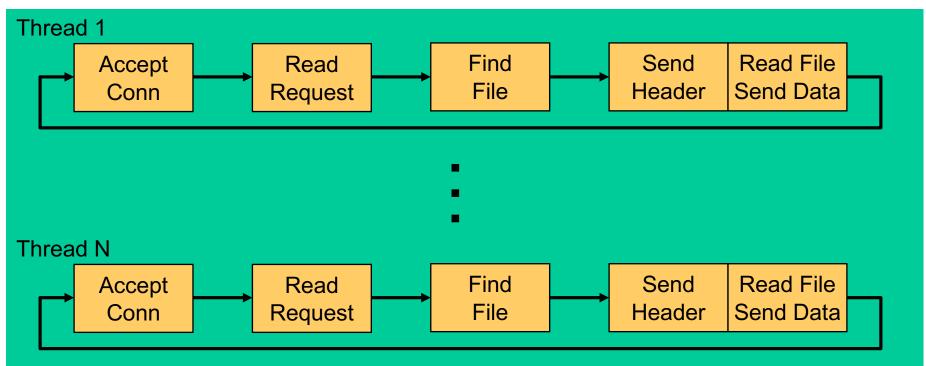
```
public class TimeServerHandlerExecutePool {
    private ExecutorService executor;
    public TimeServerHandlerExecutePool(int maxPoolSize, int queueSize) {
       executor = new ThreadPoolExecutor(
                       Runtime().availableProcessors(),
                       maxPoolSize,
                       120L, TimeUnit.SECONDS,
                       new ArrayBlockingQueue<java.lang.Runnable>(queueSize)
                 );
    }
    public void execute(java.lang.Runnable task) {
        executor.execute(task);
    }
}
```

For Java ThreadPoolExecutor scheduling algorithm, see:

https://docs.oracle.com/javase/7/docs/api/java/util/concurrent/ThreadPoolExecutor.html

<u>Summary: Thread-Based</u> <u>Network Server</u>

- Multiple threads (execution sequences) offer multiple execution sequences => blocking causes only one thread being blocked
- Intuitive (sequential) programming model
- Shared address space simplifies optimizations



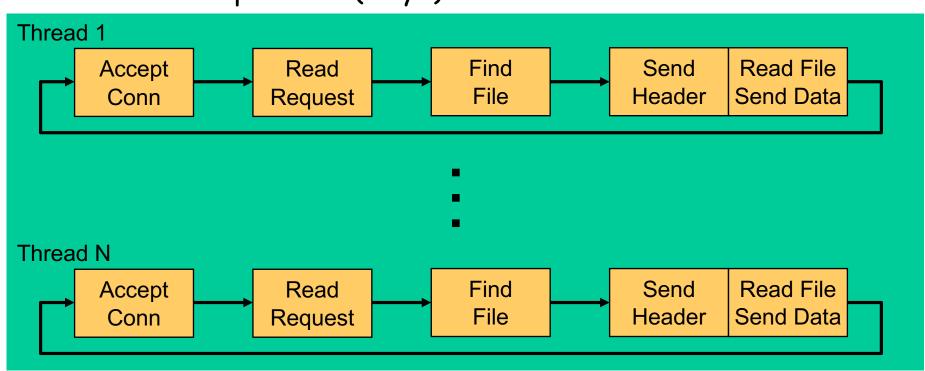
Summary: Thread-Based

Network Server

Thread creation overhead

Thread synchronization overhead

- Need to handle synchronization -> otherwise race condition
- Handle synchronization -> Overhead, complexity (e.g., wait/notify, deadlock)
- Thread size (how many threads) difficult to tune
- Still cannot handle well the large-number of long, idle connections problem (why?)



Should You Use Threads?

Typically avoid threads for io

 Use event-driven, not threads, for GUIs, servers, distributed systems.

Use threads where true CPU concurrency is needed.

• Where threads needed, isolate usage in threaded application kernel: keep most of code single-threaded.

