
Network Transport Layer: Overview; UDP; Stop-and-Wait ARQ

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<https://sngroup.org.cn/courses/cnns-xmuf25/index.shtml>

10/23/2025

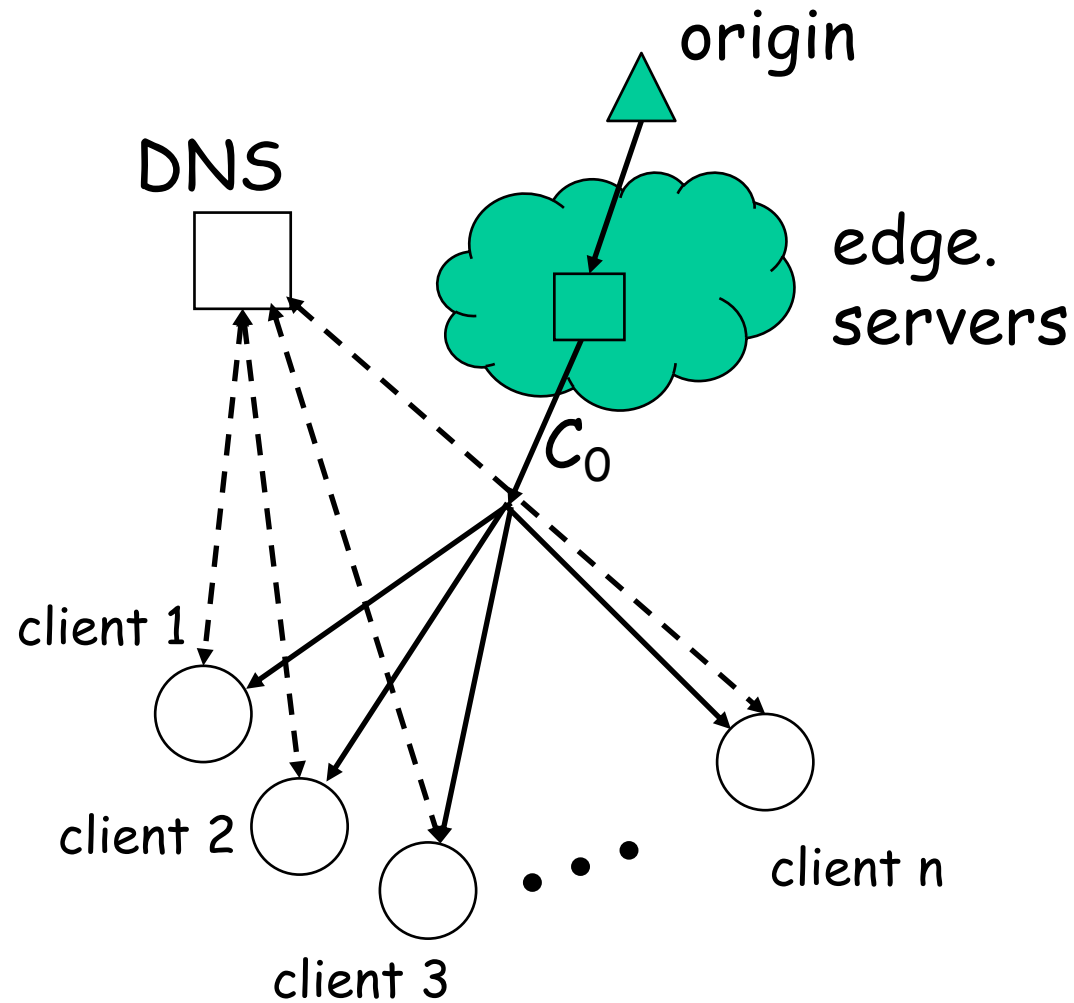
Outline

- ❑ Admin and recap
- ❑ Overview of transport layer
- ❑ UDP
- ❑ Reliable data transfer, the stop-and-go protocols

Admin

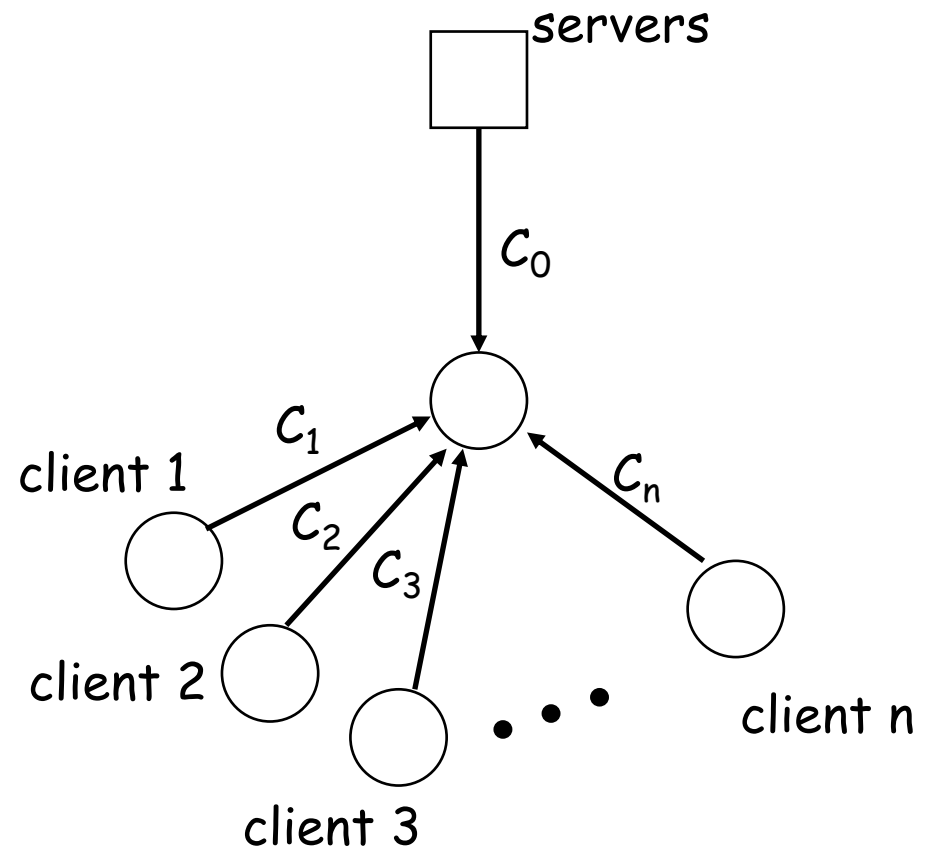
- ❑ Midterm exam on Oct. 28 (during lab class)
 - cover from introduction to application layer
 - 15-16 subjective questions over 100 minutes
 - 1-page cheat sheet allowed
- ❑ Lab 3 due on Oct.29

Recap: Scalability of Server-Only Approaches



Server+Host (P2P) Content Distribution: Key Design Issues

- ❑ Robustness
 - Resistant to churns and failures
- ❑ Efficiency
 - A client has content that others need; otherwise, its upload capacity may not be utilized
- ❑ Incentive: clients are willing to upload
 - Some real systems nearly 50% of all responses are returned by the top 1% of sharing hosts



Recap

□ Applications

□ Client-server applications

- Single server
- Multiple servers load balancing

□ Application overlays (distributed network applications) to

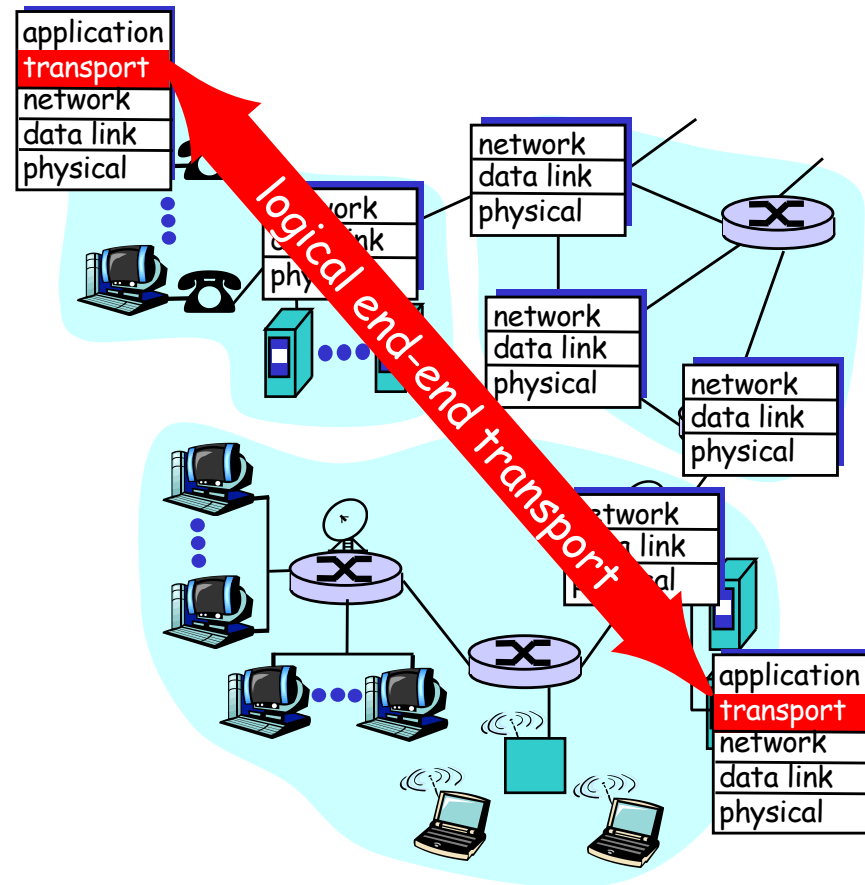
- scale bandwidth/resource (BitTorrent)
- distribute content lookup (Freenet, DHT, Chord) [optional]
- distribute content verification (Block chain) [optional]
- achieve anonymity (Tor) [optional]

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- *Overview of transport layer*
- ❑ UDP
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Overview

- ❑ Provide *logical communication* between app' processes
- ❑ Transport protocols run in end systems
 - send side: breaks app messages into *segments*, passes to network layer
 - rcv side: reassembles segments into messages, passes to app layer
- ❑ *Transport vs. network layer services:*
 - *Network layer:* data transfer between end systems
 - *Transport layer:* data transfer between processes
 - relies on, enhances network layer services



Transport Layer Services and Protocols

- ❑ Reliable, in-order delivery (TCP)
 - multiplexing
 - reliability and connection setup
 - congestion control
 - flow control

- ❑ Unreliable, unordered delivery: UDP
 - multiplexing

- ❑ Services not available:
 - delay guarantees
 - bandwidth guarantees

Transport Layer: Road Ahead

- ❑ Class 1 (today):
 - transport layer services
 - connectionless transport: UDP
 - reliable data transfer using stop-and-wait/alternating-bit protocol
- ❑ Class 2 (ready for lab assignment 4/part 1):
 - sliding window reliability
 - TCP reliability
 - overview of TCP
 - TCP RTT measurement
 - TCP connection management
- ❑ Class 3 (ready for lab assignment 4/part 2 [optional]):
 - principles of congestion control
 - TCP congestion control; AIMD; TCP Reno
- ❑ Class 4:
 - TCP Vegas, performance modeling; Nash Bargaining solution
- ❑ Class 5:
 - primal-dual as a resource allocation and analysis framework
- ❑ ...

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- ❑ Admin and recap
- ❑ Overview of transport layer
 - *UDP and error checking*
- ❑ Reliable data transfer, the stop-and-go protocols

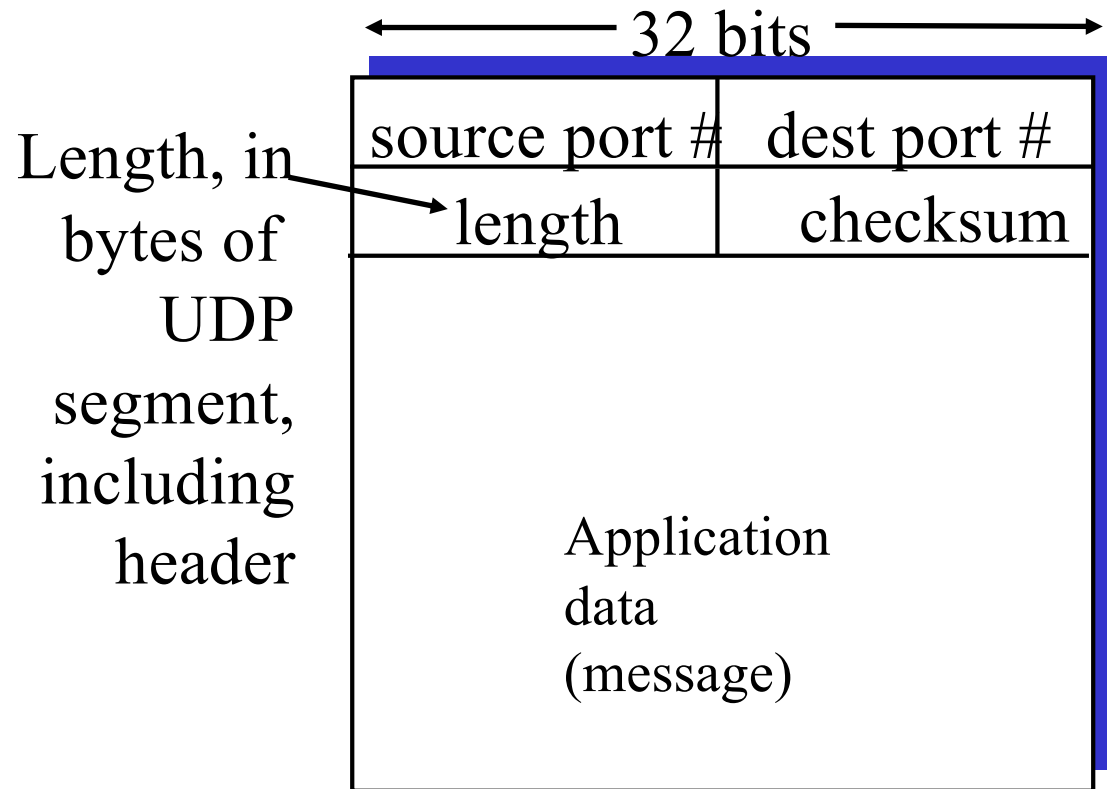
UDP: User Datagram Protocol [RFC 768]

❑ Often used for streaming multimedia apps

- loss tolerant
- rate sensitive

❑ Other UDP uses

- DNS
- SNMP



UDP segment format

UDP Checksum

Goal: end-to-end detection of “errors” (e.g., flipped bits) in transmitted segment

Sender:

- ❑ treat segment contents as sequence of 16-bit integers
- ❑ checksum: addition of segment contents to be zero
- ❑ sender puts checksum value into UDP checksum field

Receiver:

- ❑ compute sum of segment and checksum; check if sum zero
 - NO - error detected
 - YES - no error detected.
But maybe errors nonetheless?

One's Complement Arithmetic

- ❑ UDP checksum is based on one's complement arithmetic
 - one's complement was a common representation of **signed** numbers in early computers
- ❑ One's complement representation
 - bit-wise NOT for negative numbers
 - example: assume 8 bits
 - 00000000: 0
 - 00000001: 1
 - 01111111: 127
 - 10000000: ?
 - 11111111: ?
 - addition: conventional binary addition except adding any resulting carry back into the resulting sum
 - Example: $-1 + 2$

UDP Checksum: Algorithm

□ Example checksum:

	1	1	1	0	0	1	1	0	0	1	1	0	0	1	1	0
	1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1
<hr/>																
wraparound	1	1	0	1	1	1	0	1	1	1	0	1	1	1	0	1
<hr/>																
sum	1	0	1	1	1	0	1	1	1	0	1	1	1	1	0	0
checksum	0	1	0	0	0	1	0	0	0	1	0	0	0	0	1	1

- For fast implementation of computing UDP checksum, see <http://www.faqs.org/rfcs/rfc1071.html>

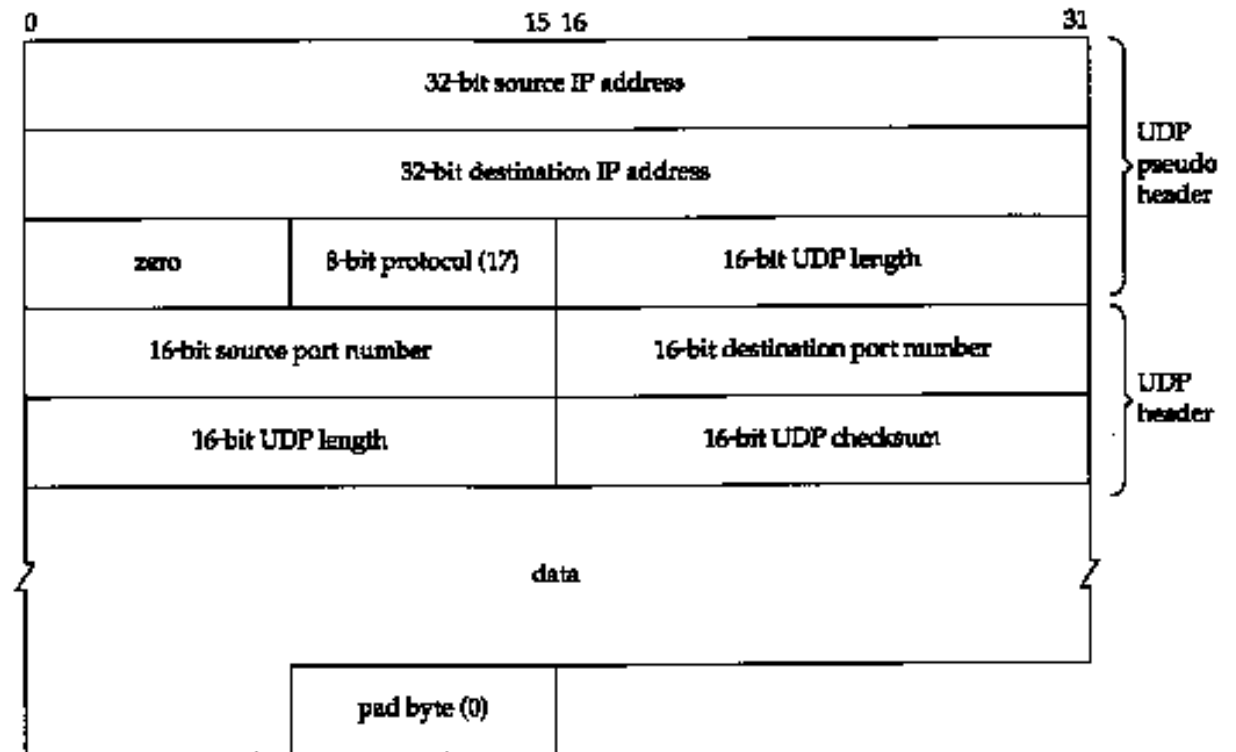
UDP Checksum: Coverage

Calculated over:

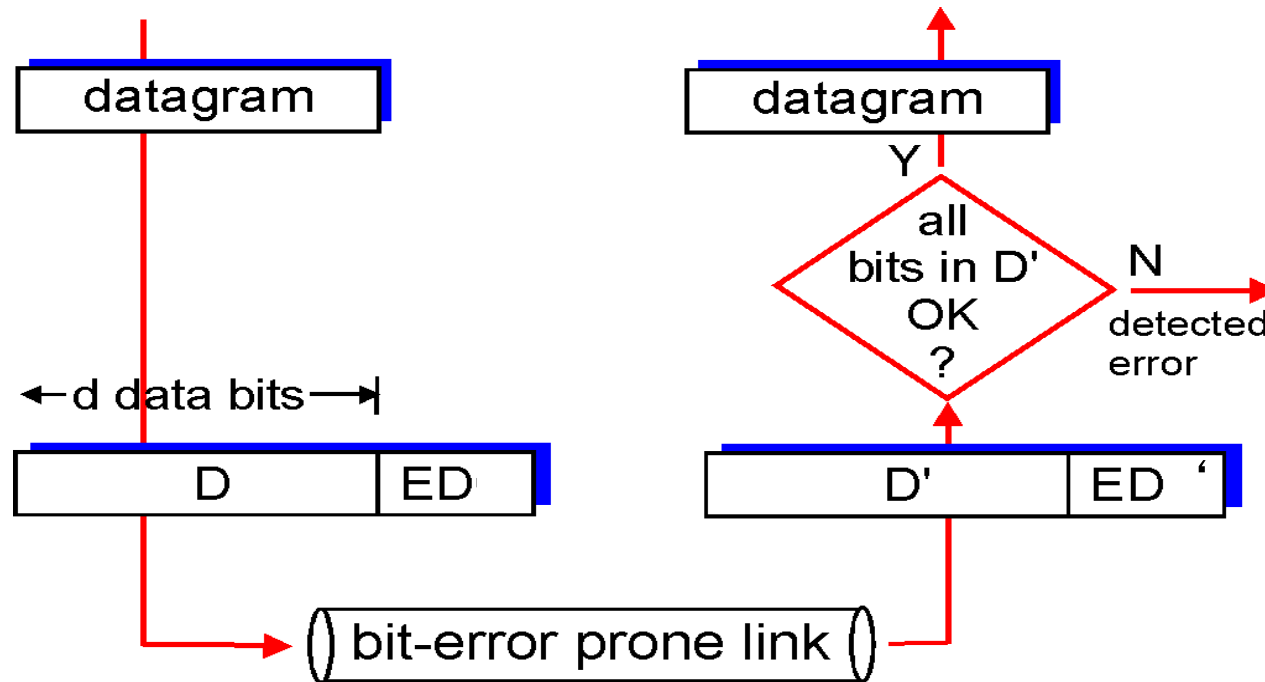
- ❑ A pseudo-header
 - IP Source Address (4 bytes)
 - IP Destination Address (4 bytes)
 - Protocol (2 bytes)
 - UDP Length (2 bytes)

- ❑ UDP header

- ❑ UDP data



General Error Detection (Checksum)



D = Data protected by error checking, may include header fields
ED = Error Detection bits (redundancy)

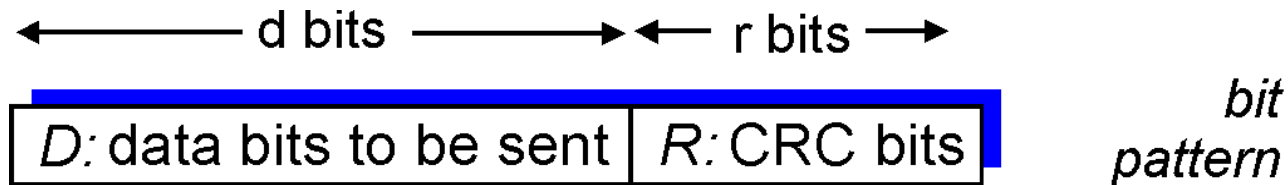
- Error detection not 100% reliable!
 - a good error detector may miss some errors, but rarely
 - larger ED field generally yields better detection

Cyclic Redundancy Check: Background

- ❑ Widely used in practice, e.g.,
 - Ethernet, DOCSIS (Cable Modem), FDDI, PKZIP, WinZip, PNG
- ❑ For a given data **D**, consider it as a polynomial $D(x)$
 - consider the string of 0 and 1 as the coefficients of a polynomial
 - e.g. consider string 10011 as x^4+x+1
 - addition and subtraction are modular 2, thus the same as xor
- ❑ Choose generator polynomial **$G(x)$** with $r+1$ bits, where r is called the **degree** of $G(x)$

Cyclic Redundancy Check: Encode

- Given data $G(x)$ and $D(x)$, choose $R(x)$ with r bits, such that
 - $D(x)x^r + R(x)$ is exactly divisible by $G(x)$

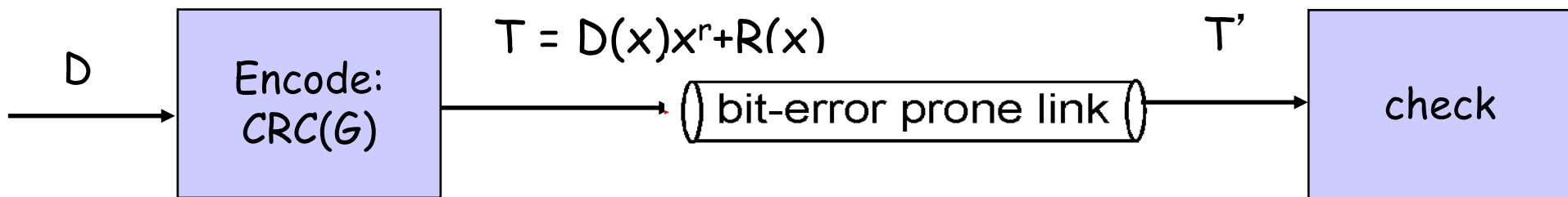


$$D * x^r + R$$

mathematical formula

- The bits correspond to $D(x)x^r + R(x)$ are sent to the receiver

Cyclic Redundancy Check: Decode



- Since $G(x)$ is global, when the receiver receives the transmission $T'(x)$, it divides $T'(x)$ by $G(x)$
 - if non-zero remainder: error detected!
 - if zero remainder, assumes no error

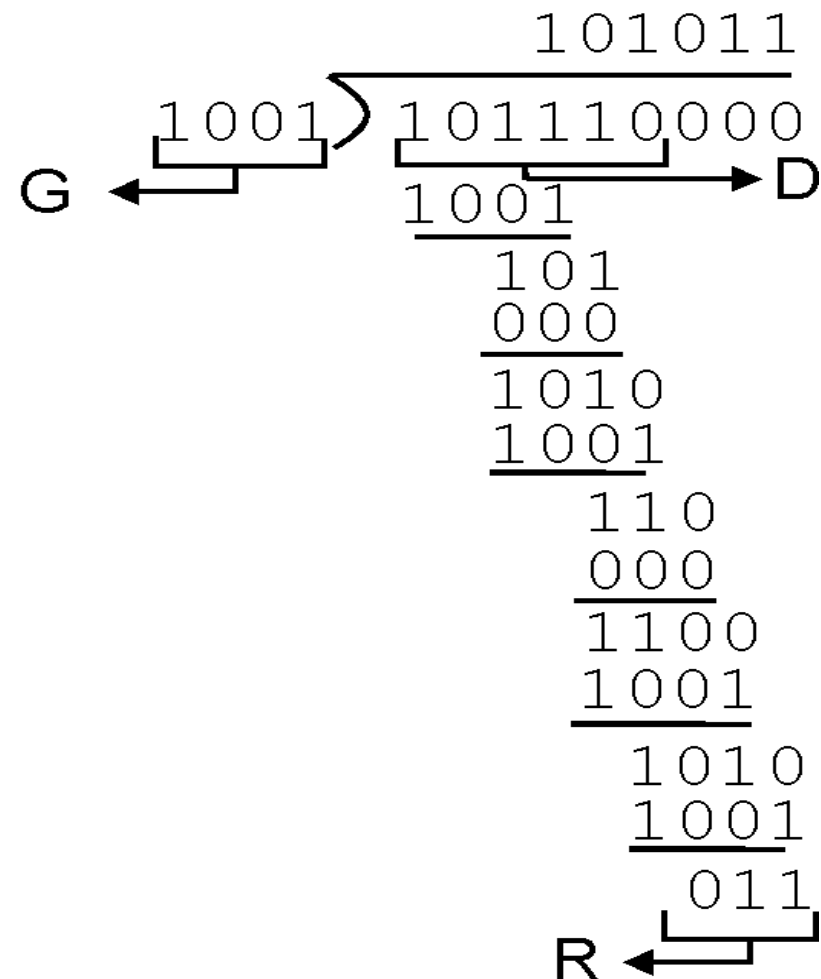
CRC: Steps and an Example

Suppose the degree of $G(x)$ is r

Append r zero to $D(x)$, i.e. consider $D(x)x^r$

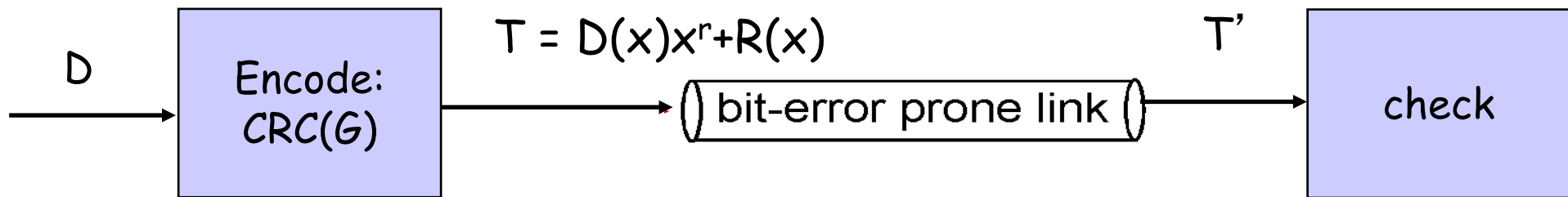
Divide $D(x)x^r$ by $G(x)$. Let $R(x)$ denote the remainder

Send $\langle D, R \rangle$ to the receiver



The Power of CRC

- Let $T(x)$ denote $D(x)x^r + R(x)$, and $E(x)$ the polynomial of the error bits
 - the received signal is $T'(x) = T(x) + E(x)$



- Since $T(x)$ is divisible by $G(x)$, we only need to consider if $E(x)$ is divisible by $G(x)$

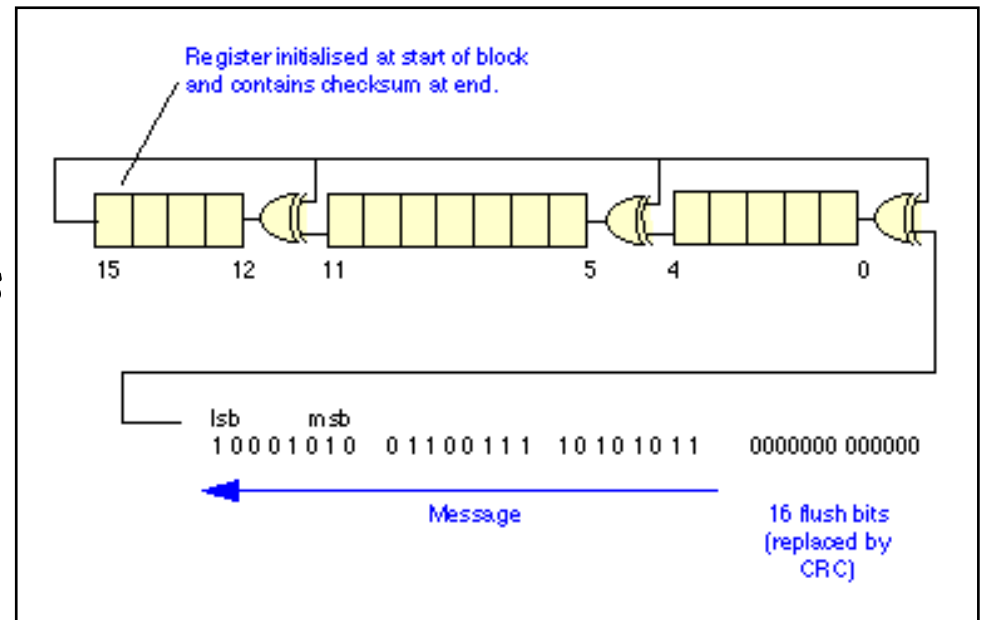
The Power of CRC

- ❑ Detect a single-bit error: $E(x) = x^i$
 - if $G(x)$ contains two or more terms, $E(x)$ is not divisible by $G(x)$
- ❑ Detect an odd number of errors: $E(x)$ has an odd number of terms:
 - lemma: if $E(x)$ has an odd number of terms, $E(x)$ cannot be divisible by $(x+1)$
 - suppose $E(x) = (x+1)F(x)$, let $x=1$, the left hand will be 1, while the right hand will be 0
 - thus if $G(x)$ contains $x+1$ as a factor, $E(x)$ will not be divided by $G(x)$
- ❑ Many more errors can be detected by designing the right $G(x)$

Example $G(x)$

□ 16 bits CRC:

- CRC-16: $x^{16}+x^{15}+x^2+1$,
CRC-CCITT: $x^{16}+x^{12}+x^5+1$
- both can catch
 - all single or double bit errors
 - all odd number of bit errors
 - all burst errors of length 16 or less
 - >99.99% of the 17 or 18 bits burst errors



CRC-16 hardware implementation
Using shift and XOR registers

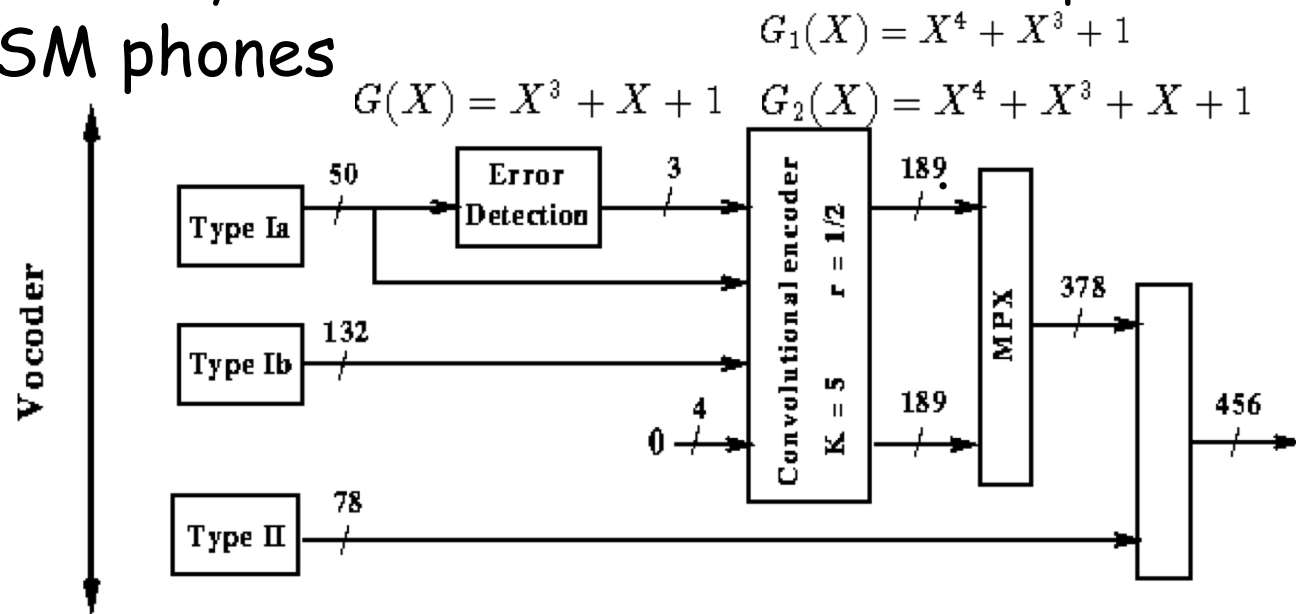
<http://en.wikipedia.org/wiki/CRC-32#Implementation>

Example $G(x)$

□ 32 bits CRC:

- $CRC32: x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1$
- used by Ethernet, FDDI, PKZIP, WinZip, and PNG

□ GSM phones



□ For more details see the link below and further links it contains:

- http://en.wikipedia.org/wiki/Cyclic_redundancy_check

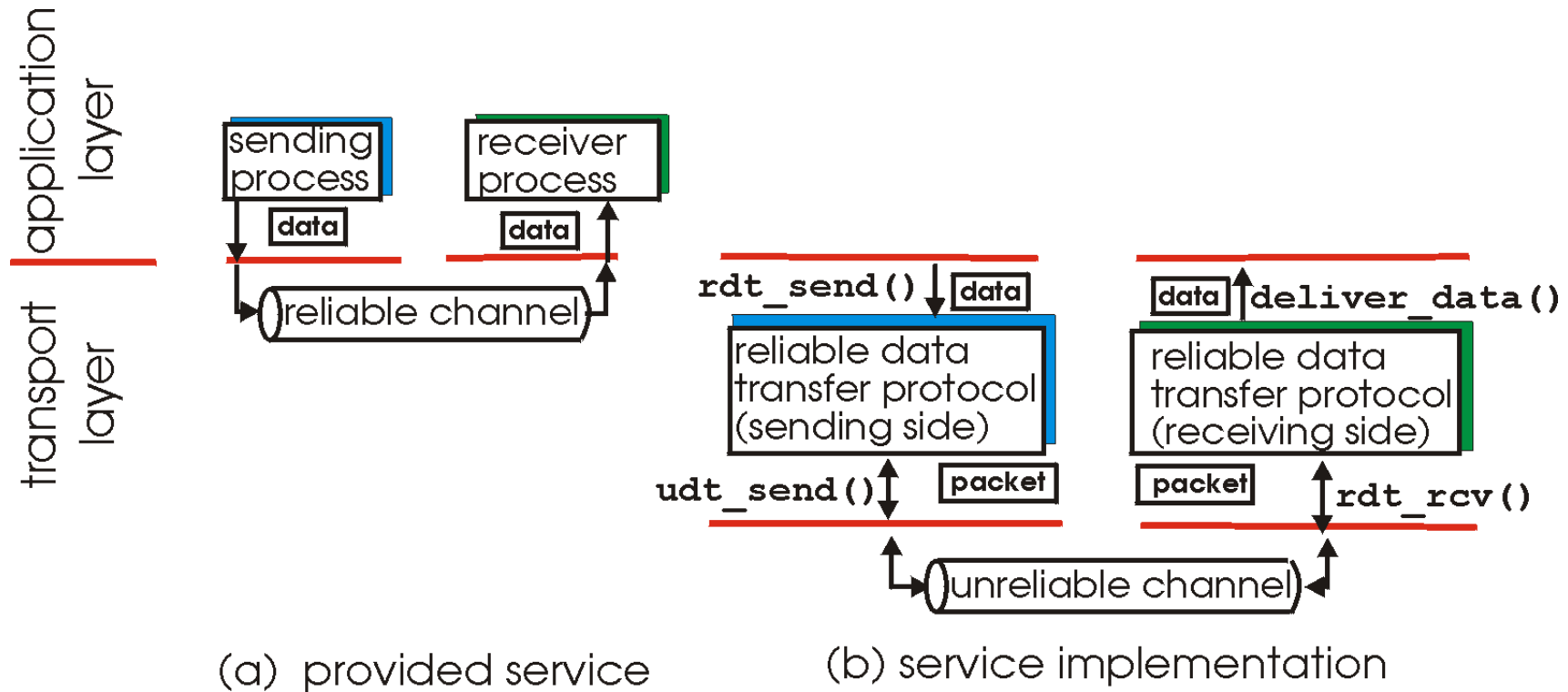
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- ❑ Transport overview
- ❑ UDP
- *Reliable data transfer*

Principles of Reliable Data Transfer (RDT)

- ❑ Important in app., transport, link layers
- ❑ Foundation to other protocols
- ❑ We use the development of RDT to also better appreciate understanding distributed protocols

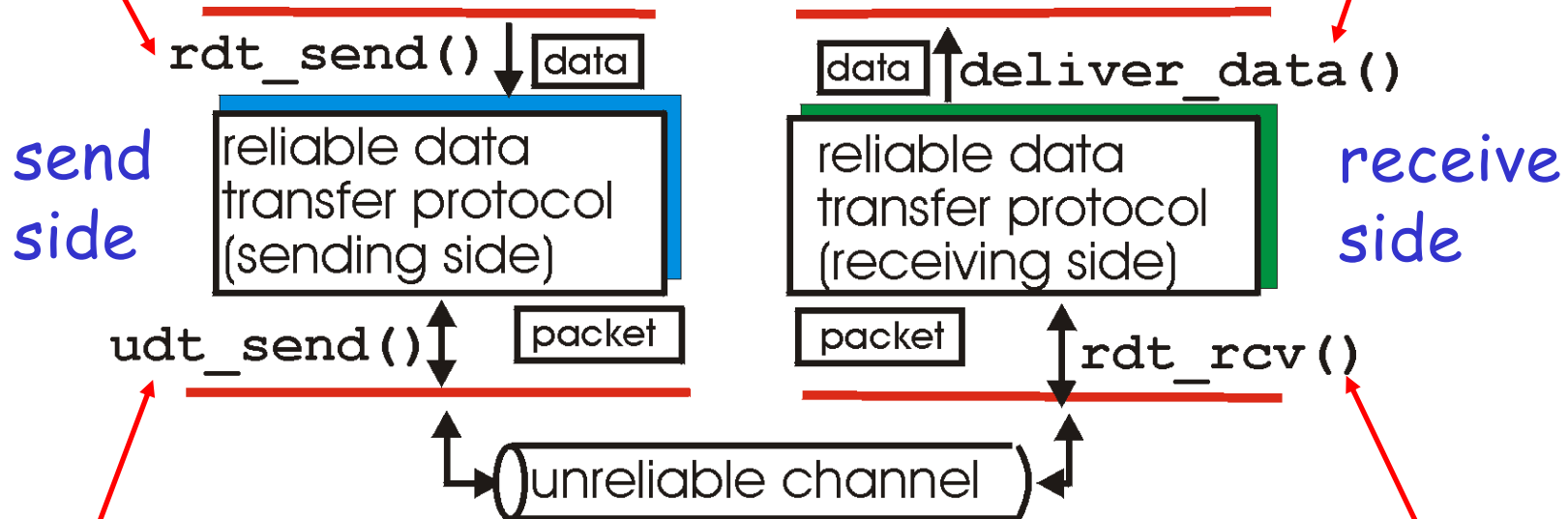
Reliable Data Transfer



Reliable Data Transfer: Getting Started

rdt_send() : called from above,
(e.g., by app.)

deliver_data() : called by
rdt to deliver data to upper



udt_send() : called by rdt,
to transfer packet over
unreliable channel to receiver

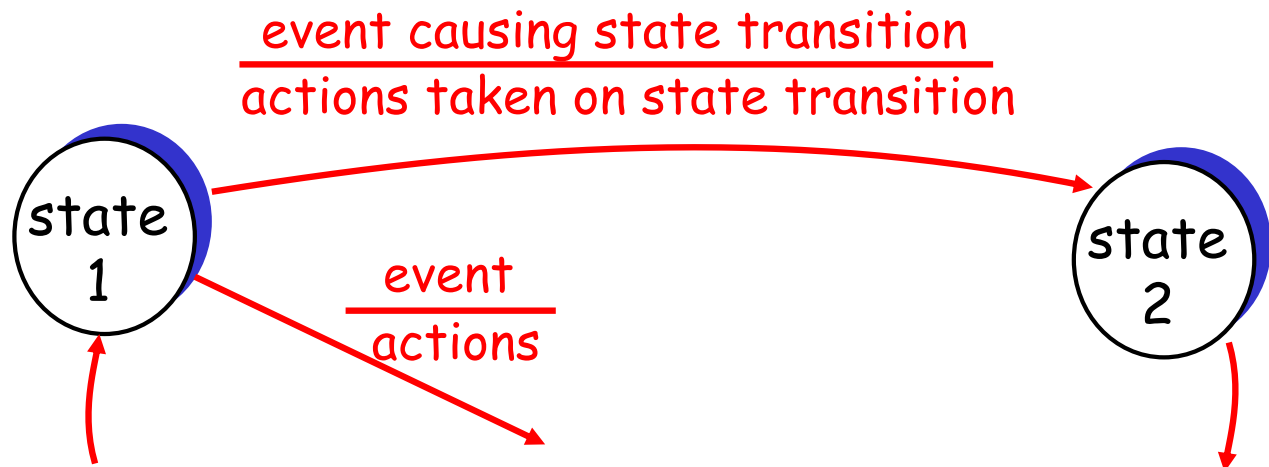
rdt_rcv() : called from below;
when packet arrives on rcv-side of
channel

Reliable Data Transfer: Getting Started

We'll:

- incrementally develop sender, receiver sides of reliable data transfer protocol (rdt)
- consider only unidirectional data transfer
 - but control info will flow on both directions !
- use **finite state machines (FSM)** to specify sender, receiver

state: when in this "state" next state uniquely determined by next event



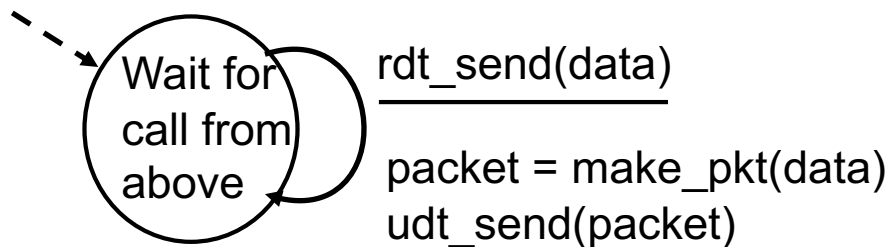
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- ❑ Admin and review
- ❑ Overview of transport layer
- ❑ UDP and error checking
- ❑ Reliable data transfer
 - *perfect channel*

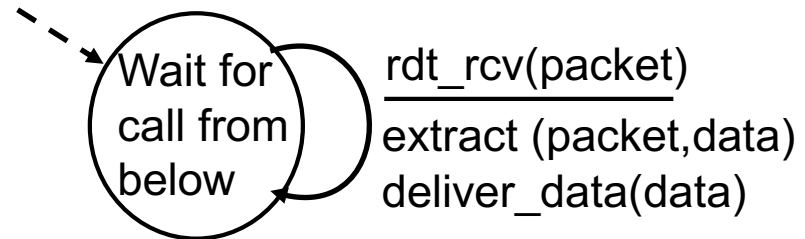
Rdt1.0: reliable transfer over a reliable channel

□ separate FSMs for sender, receiver:

- sender sends data into underlying channel
- receiver reads data from underlying channel



sender



receiver

Exercise: Prove correctness of Rdt1.0.

Correctness: for every single packet, one and only one copy is received by receiver correctly (no error) and in-order

Potential Channel Errors

- ❑ bit errors
- ❑ loss (drop) of packets
- ❑ reordering or duplication

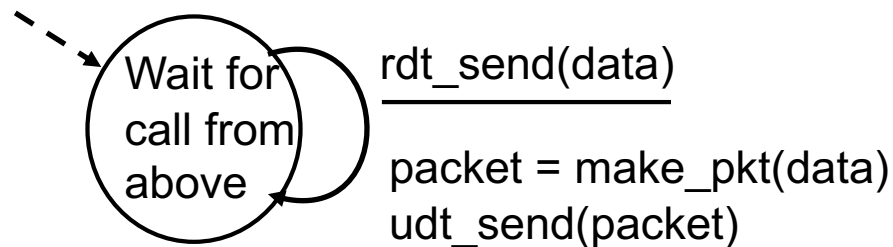
Characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt).

Outline

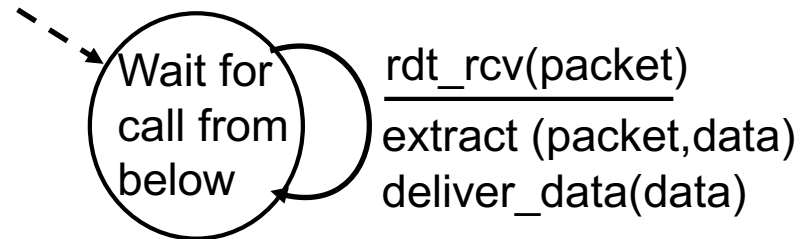
- ❑ Admin and recap
- ❑ Overview of transport layer
- ❑ Reliable data transfer
 - perfect channel
 - *channel with bit errors*

rdt2.0: Channel With Bit Errors

- Assume: Underlying channel **may only flip bits** in packet



sender



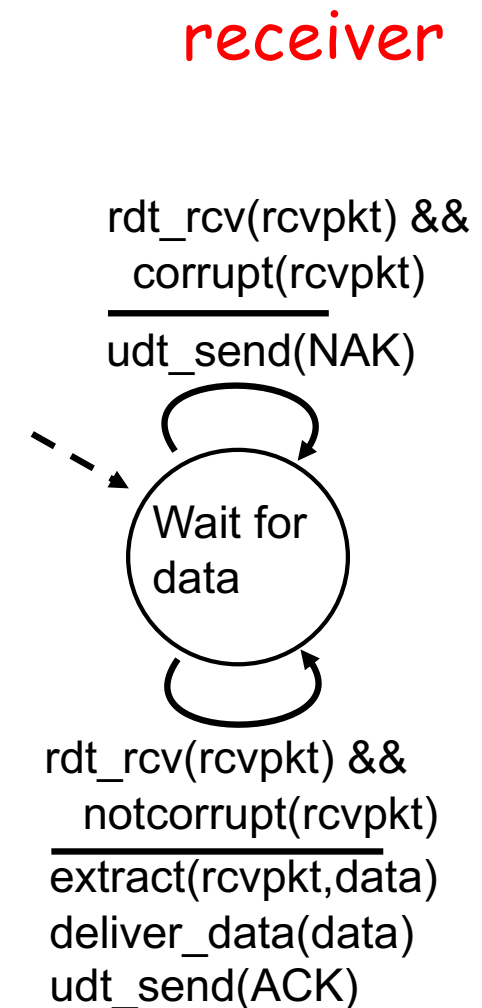
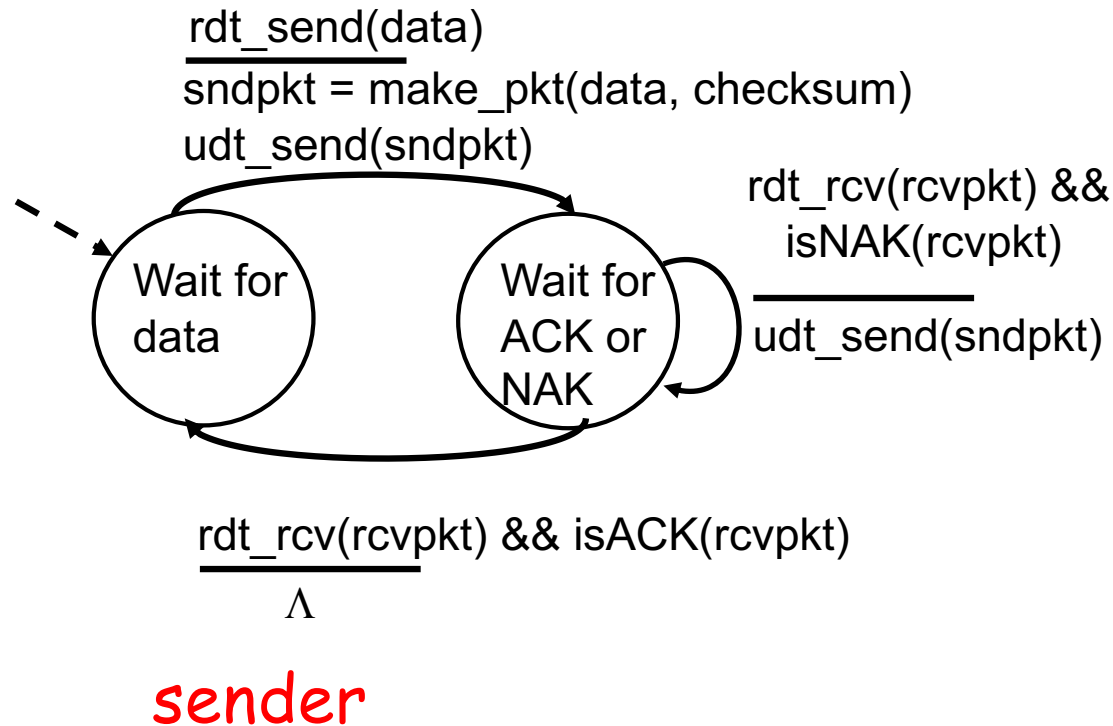
receiver

Exercise: What correctness requirement(s) rdt1.0 cannot provide?

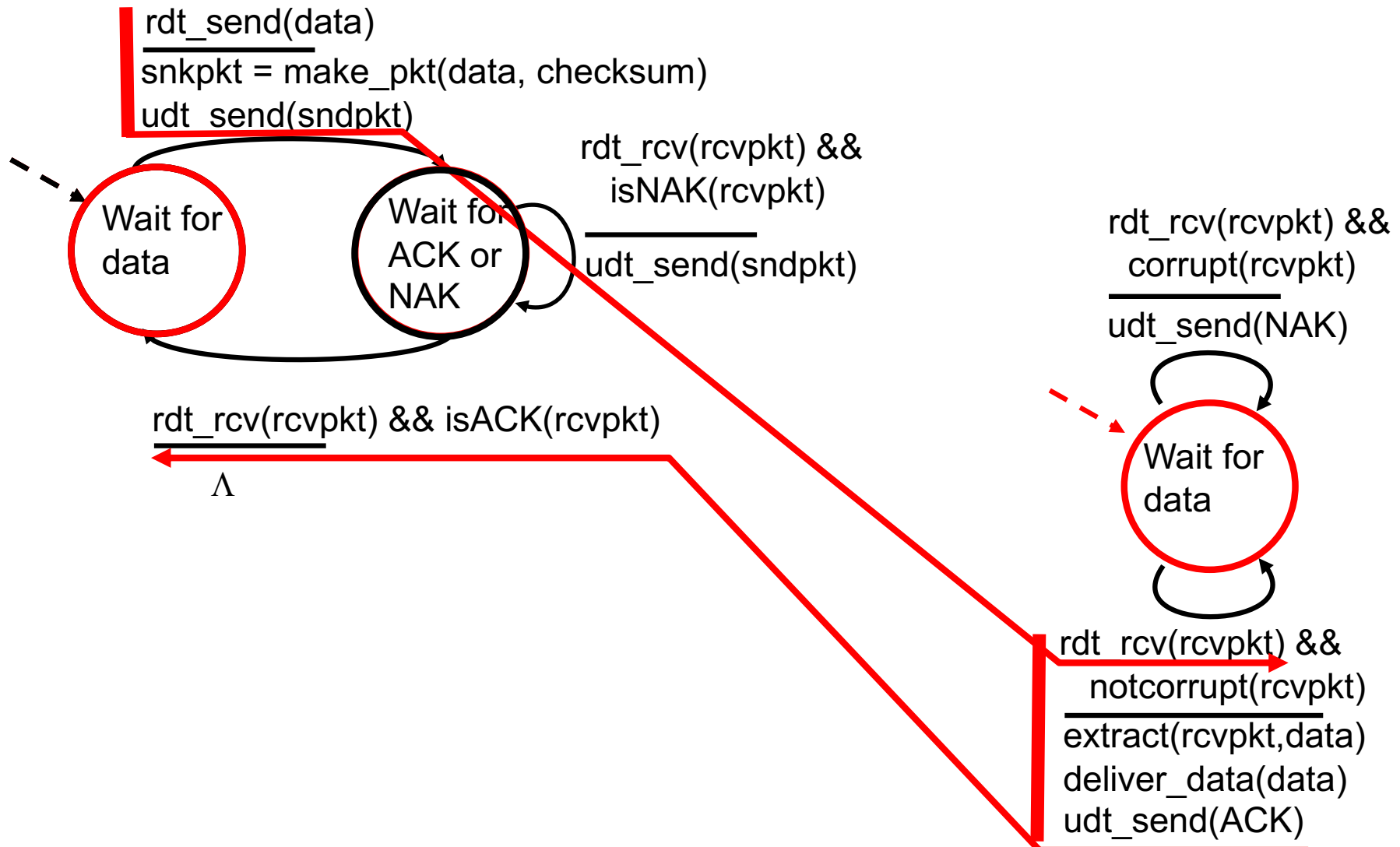
rdt2.0: Channel With Bit Errors

- ❑ New mechanisms in `rdt2.0` (beyond `rdt1.0`):
 - receiver error detection: recall: UDP checksum/Ethernet CRC detects bit errors
 - receiver feedback: control msgs (ACK,NAK) rcvr->sender
 - *acknowledgements (ACKs)*: receiver explicitly tells sender that pkt received OK
 - *negative acknowledgements (NAKs)*: receiver explicitly tells sender that pkt had errors
 - sender retransmission
 - sender retransmits pkt on receipt of NAK

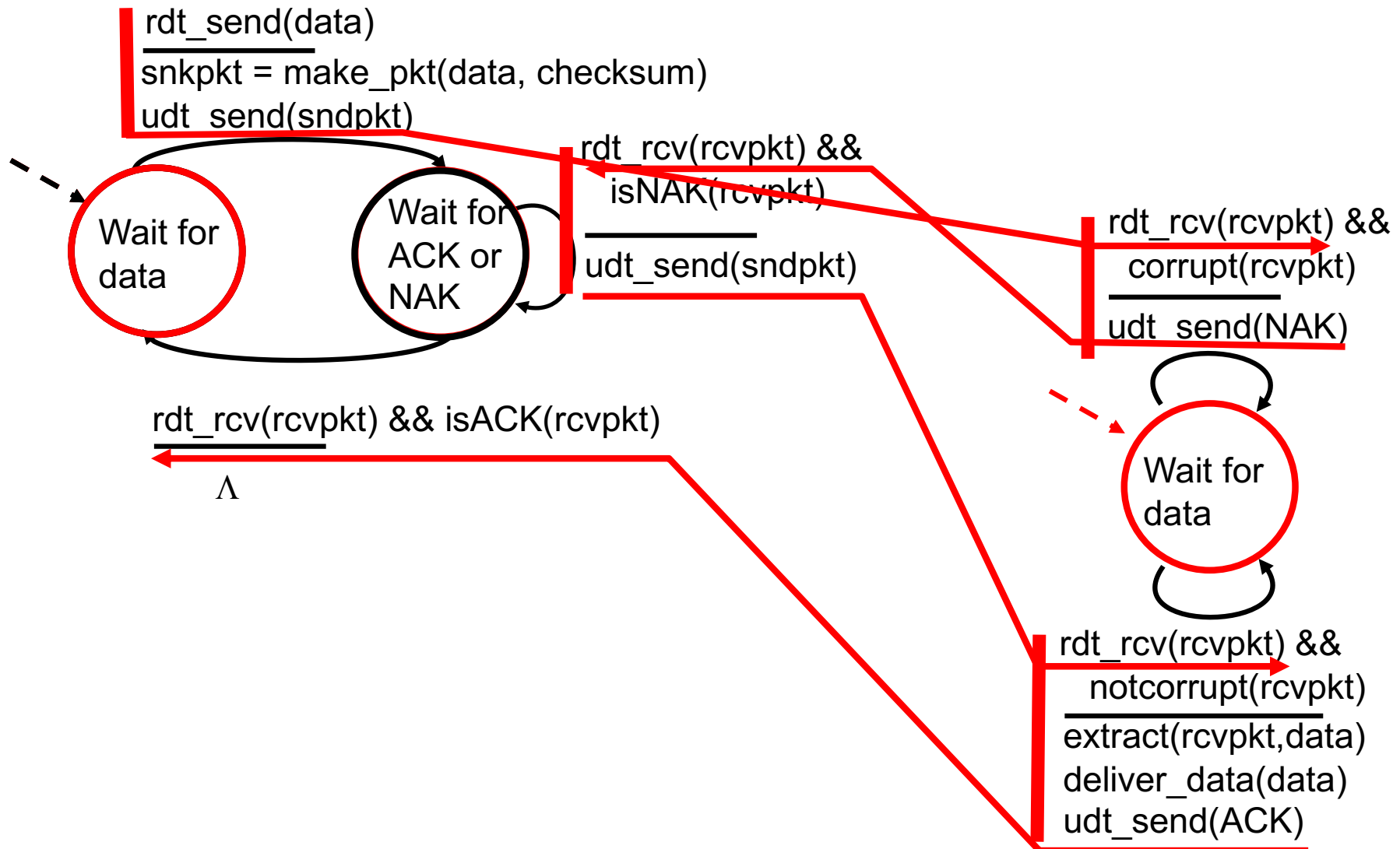
rdt2.0: FSM Specification



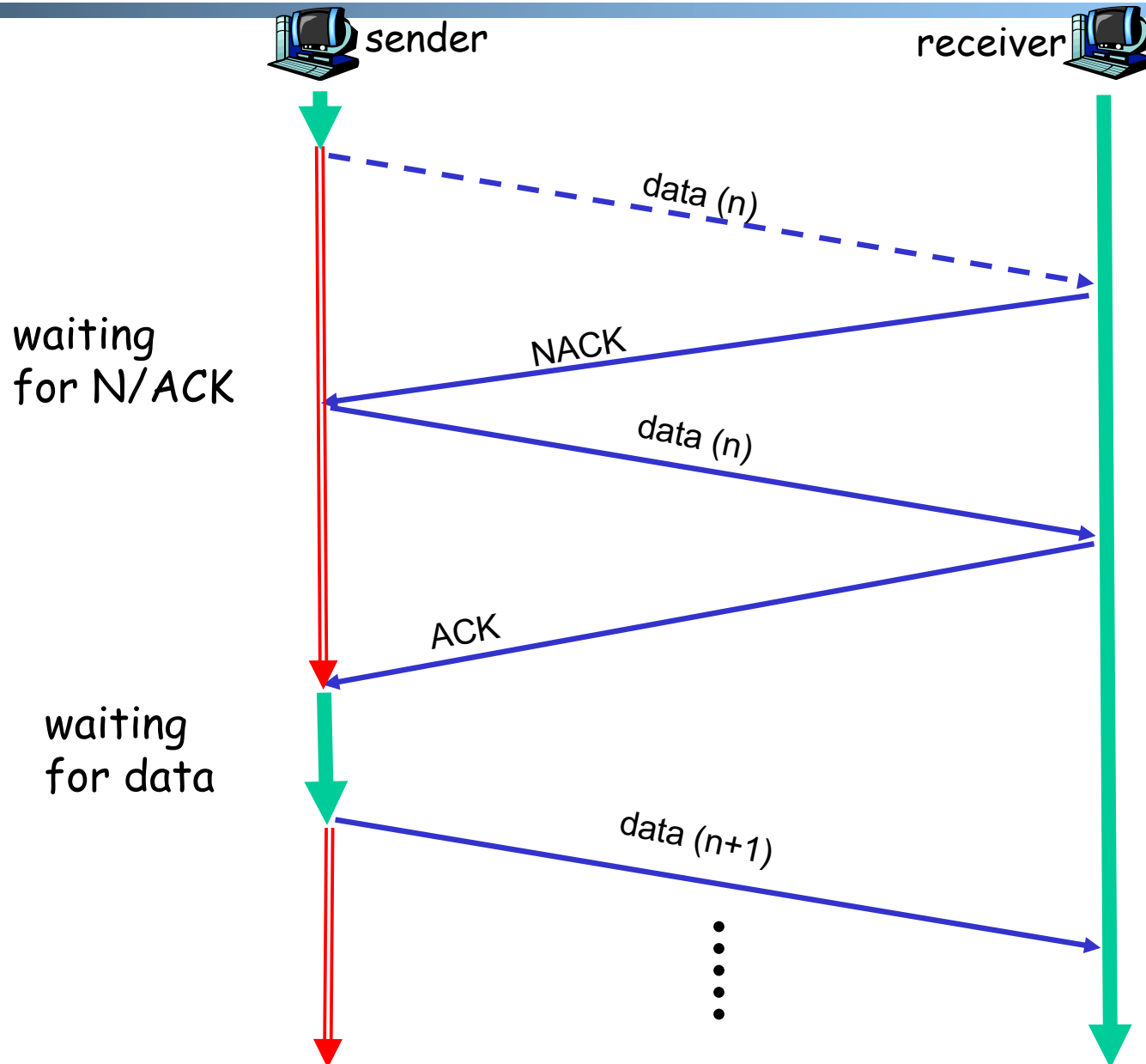
rdt2.0: Operation with No Errors



rdt2.0: Error Scenario



Rdt2.0 Analysis



Execution traces
of rdt2.0:
 $\{\text{data}^* \text{ NACK}\}^*$
data deliver
ACK

Analyzing set of all
possible execution
traces is a common
technique to
understand and
analyze many types
of distributed
protocols.